

PCT

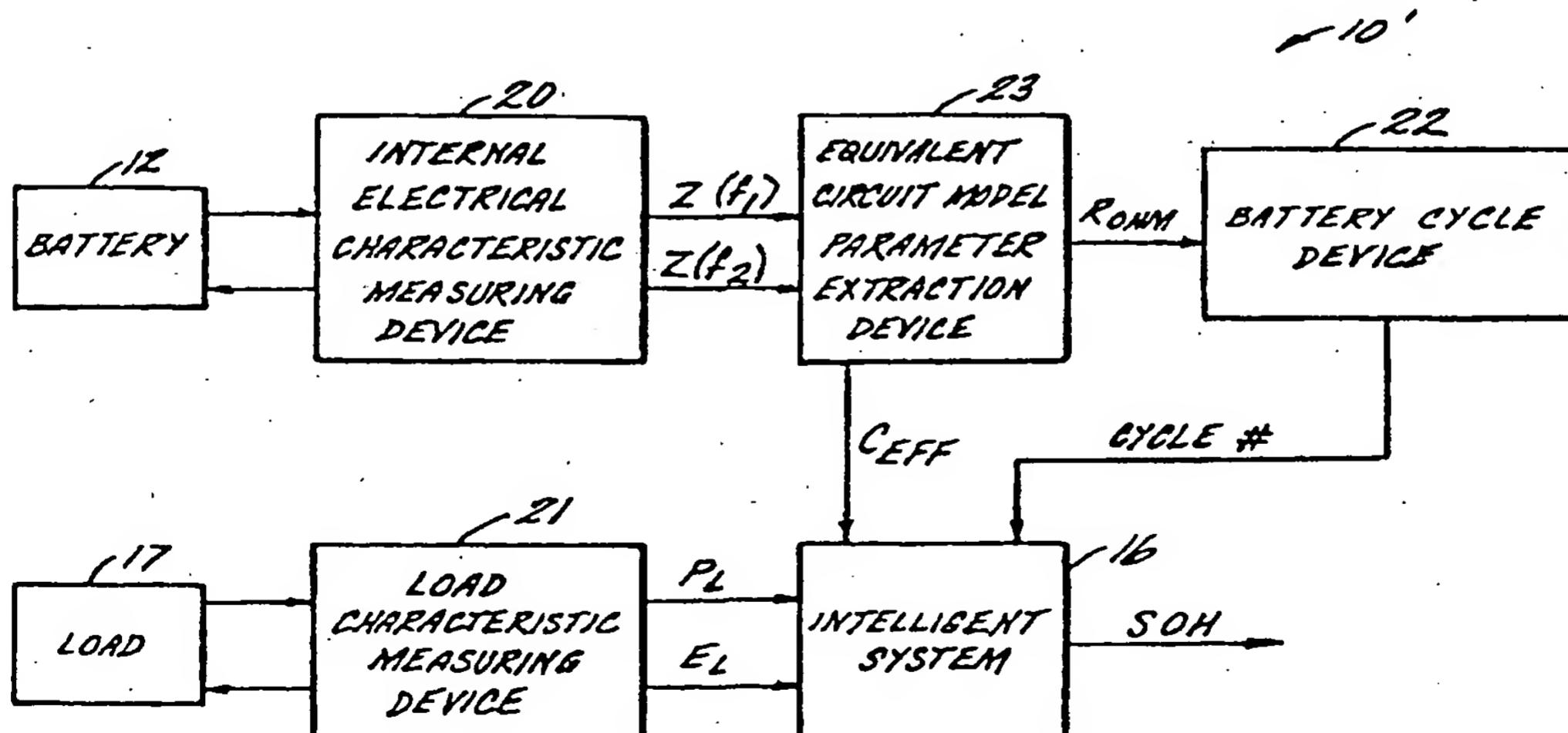
WORLD INTELLECTUAL PROPERTY ORGANIZATION
International Bureau



INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification ⁶ :	A1	(11) International Publication Number:	WO 98/40951
H02J 15/00		(43) International Publication Date:	17 September 1998 (17.09.98)
(21) International Application Number:		PCT/US98/04882	
(22) International Filing Date:		12 March 1998 (12.03.98)	
(30) Priority Data:			
60/040,476	12 March 1997 (12.03.97)	US	(81) Designated States: CA, CN, JP, European patent (AT, BE, CH, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE).
60/051,165	27 June 1997 (27.06.97)	US	
(71) Applicant: US NANOCORP. [US/US]; Suite 106, 20 Washington Avenue, North Haven, CT 06473-2342 (US).			
(72) Inventor: SINGH, Pritpal; 800 Lancaster Avenue, Villanova, PA 19085 (US).			
(74) Agent: COLBURN, Philmore, H., II; Fishman, Dionne, Cantor & Colburn, 88 Day Hill Road, Windsor, CT 06095 (US).			

(54) Title: A METHOD FOR DETERMINING STATE-OF-HEALTH USING AN INTELLIGENT SYSTEM



(57) Abstract

A method for determining state-of-health (SOH) of an electrochemical device (12) using fuzzy logic (i.e., an intelligent system) is presented. State-of-health of an electrochemical device (12) is determined by an internal characteristic parameter (or external operating and environmental conditions) of the electrochemical device (12) and a characteristic parameter of a load (17) with an intelligent system (16). The electrochemical device (12) comprises such devices as primary batteries, rechargeable batteries, fuel cells or hybrid batteries containing a fuel cell electrode or electrochemical supercapacitors. The intelligent system (16) is trained in the relationship between the characteristic parameters of the electrochemical device (12), the characteristic parameters of the load (17) and the SOH of the electrochemical device (12).

FOR THE PURPOSES OF INFORMATION ONLY

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AL	Albania	ES	Spain	LS	Lesotho	SI	Slovenia
AM	Armenia	FI	Finland	LT	Lithuania	SK	Slovakia
AT	Austria	FR	France	LU	Luxembourg	SN	Senegal
AU	Australia	GA	Gabon	LV	Latvia	SZ	Swaziland
AZ	Azerbaijan	GB	United Kingdom	MC	Monaco	TD	Chad
BA	Bosnia and Herzegovina	GE	Georgia	MD	Republic of Moldova	TG	Togo
BB	Barbados	GH	Ghana	MG	Madagascar	TJ	Tajikistan
BE	Belgium	GN	Guinea	MK	The former Yugoslav Republic of Macedonia	TM	Turkmenistan
BF	Burkina Faso	GR	Greece	ML	Mali	TR	Turkey
BG	Bulgaria	HU	Hungary	MN	Mongolia	TT	Trinidad and Tobago
BJ	Benin	IE	Ireland	MR	Mauritania	UA	Ukraine
BR	Brazil	IL	Israel	MW	Malawi	UG	Uganda
BY	Belarus	IS	Iceland	MX	Mexico	US	United States of America
CA	Canada	IT	Italy	NE	Niger	UZ	Uzbekistan
CF	Central African Republic	JP	Japan	NL	Netherlands	VN	Viet Nam
CG	Congo	KE	Kenya	NO	Norway	YU	Yugoslavia
CH	Switzerland	KG	Kyrgyzstan	NZ	New Zealand	ZW	Zimbabwe
CI	Côte d'Ivoire	KP	Democratic People's Republic of Korea	PL	Poland		
CM	Cameroon	KR	Republic of Korea	PT	Portugal		
CN	China	KZ	Kazakhstan	RO	Romania		
CU	Cuba	LC	Saint Lucia	RU	Russian Federation		
CZ	Czech Republic	LI	Liechtenstein	SD	Sudan		
DE	Germany	LK	Sri Lanka	SE	Sweden		
DK	Denmark	LR	Liberia	SG	Singapore		
EE	Estonia						

A METHOD FOR DETERMINING STATE-OF-HEALTH USING AN INTELLIGENT SYSTEM

Background of the Invention:

The present invention relates to determining the state-of-health (SOH) of an electrochemical device. More particularly, the present invention relates to determining the SOH of an electrochemical device using an intelligent system, e.g. a fuzzy logic system.

The SOH of a battery has been interpreted in different ways by scientists/engineers in the field. In the case of valve regulated lead acid (VRLA) batteries used by utility companies, for providing emergency backup power, SOH is interpreted to mean that a battery is close to the end of its cycle life and needs replacement. Several papers including Feder and Hlavac 1994 INTELEC Conf. Proc. pp. 282-291 (1994) and Hawkins and Hand 1996 INTELEC Conf. Proc. pp. 640-645 (1996) demonstrate that the increase in impedance of aging VRLA batteries can be used to indicate the SOH of the battery.

20 Another interpretation of battery SOH is the capability of a battery to meet its
load demand. This is also referred to as "battery condition" by others in the field. To
obtain the SOH of a battery in the terms defined, both the available charge capacity of
the battery and the maximum power available from the battery are required. Several
approaches have been used to determine the condition of a battery. In U.S. Patent
25 5,365,453 is described a method in which a ratio of a change in battery voltage to a
change in load is used to predict impending battery failure in battery powered electronic
devices. Similar methods in which the battery response to and recovery from the
application of a load is used to determine the SOH of batteries are reported in U.S.
Patent Nos. 4,080,560 and 5,159,272. While these load profiling approaches work

reasonably well for batteries integrated into a system, they are not necessarily accurate or reliable ways of determining the SOH of batteries outside a system.

Summary of the Invention:

5 The above-discussed and other drawbacks and deficiencies of the prior art are overcome or alleviated by the method for determining state of health (SOH) of an electrochemical device using an intelligent system, e.g., a fuzzy logic system, of the present invention. In accordance with the present invention, the state of health of an electrochemical device is determined by an internal characteristic parameters (or
10 external operating and environmental conditions) of the electrochemical device and characteristic parameters of a load and the SOH of the electrochemical device with an intelligent system. The electrochemical device comprises such devices as primary ("throwaway") batteries, rechargeable batteries, fuel cells, a hybrid battery containing a fuel cell electrode and electrochemical supercapacitors. The intelligent system is
15 trained in the relationship between characteristic parameters of the electrochemical device, characteristic parameters of the load and the SOH of the electrochemical device.

20 The intelligent system comprises any system that adaptively estimates or learns continuous functions from data without specifying how outputs depend on inputs. By way of example, the intelligent system includes an artificial neural system, a fuzzy system and other such model-free function estimators that learn. Learning, so-called,
25 "tunes" an intelligent system. This learning process (also referred to as a training process) can be implemented in many ways. The intelligent system can be implemented using: an algorithm such as radiant descent and clustering used to tune neural networks and adaptive fuzzy systems; search optimization techniques such as those used by genetic algorithms; or an expert's guesses or trials and errors such as those used in fuzzy expert systems and fuzzy systems.

30 The above-discussed and other features and advantages of the present invention will be appreciated and understood by those skilled in the art from the following detailed description and drawings.

Brief Description of the Drawings:

Referring now to the drawings wherein like elements are numbered alike in the several FIGURES:

FIGURE 1 is a block diagram of a method for determining state of health of an electrochemical device in accordance with the present invention;

FIGURE 2 is a block diagram of an additive fuzzy system for use in the intelligent system of the present invention;

FIGURE 3 is a block diagram of a method for determining state of health of an electrochemical device in accordance with an embodiment of the present invention;

FIGURE 4A is a schematic diagram of a circuit for measuring battery impedance;

FIGURE 4B is a block diagram of an equivalent circuit model characteristic parameter extraction device of FIGURE 3;

FIGURE 4C is a plot of the log of the impedance versus battery cycle number;

FIGURE 5 is a plot of impedance function as a function of SOC versus Cycle #;

FIGURE 6 is a block diagram of an intelligent system for use in determining state of health of the present invention;

FIGURE 7A is a block diagram of a first intelligent system of the present invention;

FIGURE 7B is a block diagram of a second intelligent system of the present invention;

FIGURE 8A is a block diagram of a first additive fuzzy system for use in the first intelligent system of FIGURE 7A;

FIGURE 8B is a block diagram of a second additive fuzzy system for use in the second intelligent system of FIGURE 7B;

FIGURE 8C is a block diagram of the second intelligent system in accordance with alternate embodiment of the present invention;

FIGURE 9A is a circuit block diagram for determining battery impedance in accordance with the present invention;

FIGURE 9B is a circuit block diagram for determining state of charge in accordance with the present invention; and

FIGURE 10 is a block diagram of a method for determining state of charge of an electrochemical device in accordance with another embodiment of the present invention;

Description of the Invention:

Referring to FIGURE 1, a system for determining State-of-Health (SOH) in accordance with the present invention is generally shown at 10. System 10 comprises an electrochemical device 12 for which SOH is to be determined, a parameter extraction device 14 and an intelligent system 16. Electrochemical device 12 comprises such devices as primary ("throwaway") batteries, rechargeable batteries, fuel cells, a hybrid battery containing a fuel cell electrode and electrochemical supercapacitors. Intelligent system 16 is trained in the relationship between characteristic parameters of electrochemical device 12 (parameter extraction device 14a) and characteristic parameters of a load 17 (parameter extraction device 14b), and the SOH of electrochemical device 12. These characteristic parameters are obtained by device 14 and provided to intelligent system 16 as inputs, with the SOH being the output of intelligent system 16.

The state of health of an electrochemical device 12 is defined as the device's ability to perform a specified task. Although an electrochemical device 12 may be at a high State-of-Charge (SOC), its health may be poor due to loss of electrolyte or otherwise. Although a fully discharged battery may be at a low SOC, due to depletion of the charged species of the electrodes or otherwise, it may well be in a full SOH, which would be realized subsequent to recharging the electrochemical device. Phenomenons such as loss of electrolyte and depletion of charged species affect the electrochemical device's power delivery capability and its capacity. Therefore, the electrochemical device's State-of-Health is a function of its ability to deliver the power required by a load and its capacity to meet the load requirements.

Intelligent system 16 comprises any system that adaptively estimates or learns continuous functions from data without specifying how outputs depend on inputs, such

as described in Neural Networks and Fuzzy System, by Bart Kosko, Prentice-Hall, 1992, which is incorporated herein by reference. By way of example, intelligent system 16 includes, but is not limited to, an artificial neural system, a fuzzy system and other such model-free function estimators that learn. Learning, so-called, "tunes" an intelligent system. The learning process (also referred to as a training process) can be implemented in many ways. Intelligent system 16 can be implemented using an algorithm such as gradient decent and clustering used to tune neural networks and adaptive fuzzy systems, such as described in Neural Networks and Fuzzy System, by Bart Kosko, Prentice-Hall, 1992. Intelligent system 16 can also be implemented using search optimization techniques such as those used by genetic algorithms, see Genetic Algorithm and Fuzzy Logic System, Soft Computing Perspective (Advances in Fuzzy Systems - Applications and Theory, Vol. 7), by Elie Sanchez et al, World Scientific Pub. Co., 1997, which is incorporated herein by reference. Alternatively, intelligent system 16 can be implemented by an expert's guesses or trials and errors such as those used in fuzzy expert systems and fuzzy systems, see Timothy J. Ross, McGraw Hill, 1995, which is incorporated herein by reference. It will be appreciated that combinations of the above may also be employed, such as a fuzzy system/adaptive fuzzy system that uses gradient decent and/or clustering to develop an initial fuzzy system, then uses an expert's knowledge to fine tune the system, see Neural Networks and Fuzzy System, by Bart Kosko, Prentice-Hall, 1992, which is incorporated herein by reference.

Referring to FIGURE 2, intelligent system 16 in a preferred embodiment comprises an additive fuzzy system 18 with centroid defuzzification 20. Additive fuzzy system 18, F, stores m fuzzy rules of the form, "If X is A_j then Y is B_j ", and computes the output $F(x)$ as the centroid of the summed and partially fired then-part fuzzy sets B'_j , see Fuzzy Engineering, by Bart Kosko, Prentice-Hall, 1997. Mathematically additive fuzzy system 18 is expressed by Equation 1 as:

$$F(x) = \frac{\sum_{j=1}^m w_j a_j(x) V_j c_j}{\sum_{j=1}^m w_j a_j(x) V_j}$$

EQUATION 1

$$\alpha_j(x) = \prod_{i=1}^n \alpha_j^i(x_i)$$

EQUATION 2

$$B = \sum_{j=1}^m w_j B_j = \sum_{j=1}^m w_j \alpha_j(x) B_j$$

EQUATION 3

5 where:

 w_j is a weight of rule j, α_j^i represents if-part set function (membership function of input i of rule j on input i), α_j represents joint if-part set function (result of " α_j^1 'and' α_j^2 'and' α_j^n ") that states the degree to which the input x belongs to the if-part fuzzy set α_j ,10 B_j represents then-part set function (membership function j on the output), V_j is the finite positive volume (or area) of the then-part set B_j , c_j is the centroid of the then-part set B_j , B_j' - scaled then-part set (scaled output membership function j, result of $\alpha_j(x)B_j$), and B - output set prior to defuzzification.

15 In linguistic terms, additive fuzzy system 18 can be described in terms of a set of if-then rules:

RULE 1: If X_1 is α_1^1 and X_2 is α_1^2 and X_n is α_1^n , then $F(X)$ is B_1 ,20 RULE 2: If X_1 is α_2^1 and X_2 is α_2^2 and X_n is α_2^n , then $F(X)$ is B_2 ,RULE m: If X_1 is α_m^1 and X_2 is α_m^2 and X_n is α_m^n , then $F(X)$ is B_m ,

25 where m is the number of rules and n is the number of inputs.

The linguistic description and the mathematical description of additive fuzzy system 18 are equivalent. They are merely different views of the same fuzzy system.

Both approaches map a given input X to a given output $F(X)$ by a process known as fuzzy inference. The following example demonstrates the fuzzy inference process.

5 First, fuzzify the inputs by taking the inputs and determine the degree to which they belong to each of the appropriate input fuzzy sets via membership functions.

Mathematically expressed as: " $a_1^1(X_1), a_1^2(X_2), \dots, a_1^m(X_m)$ ". Linguistically expressed as: "If $X_1 = a_1^1$, If $X_2 = a_1^2$, ..., If $X_m = a_1^m$ ". Second, apply a fuzzy operator by combining if-part sets of a given rule to obtain one number that represents the result of

10 the antecedent for that rule. Mathematically expressed as EQUATION 2 hereinabove.

Linguistically expressed as: " a_1^1 'and' a_1^2 'and' a_1^m " where 'and' is the T-norm product. Third, apply an implication method by shaping the consequent (or output fuzzy set) based on the result of the antecedent for that rule. Mathematically expressed as: " $B_1 = a_1(X)B_1$ ". Linguistically expressed as: "If $a_1(X)$, then B_1 ". Fourth, aggregate all outputs by combining the consequent of each rule to form one output fuzzy set. Mathematically expressed as EQUATION 3 hereinabove. Fifth, defuzzify by mapping the output fuzzy set to a crisp number. Mathematically expressed as " $F(x) = \text{centroid}(B) = \text{EQUATION 1}$ ". In general see Fuzzy Logic Toolbox, for use with MATLAB, The Mathworks, Inc. by Jang and Gulley.

15 20 By way of example, a supervised gradient descent, can learn or tune additive fuzzy system 18 given by EQUATION 1 by changing the rule weights w_j , the then-part volumes V_j , the then-part centroids c_j , or the if-part set functions a_j .

Referring to FIGURE 3, system 10' determines the SOH of battery 12 by relating the relationship between internal electrical characteristics of an electrochemical device 12 and the characteristics of a load 17, and the SOH of an electrochemical device 12. In the preferred embodiment the internal electrical characteristic measuring device comprises an impedance measuring device. System 10' comprises battery 12 for which the SOH is to be determined, load 17, an impedance measuring device 20, a load characteristic measuring device 21, and preprocessor and intelligent system 16. In the

preferred embodiment the preprocessor comprises a equivalent circuit parameter extraction device 23 and a battery cycle device 22.

Referring to FIGURE 4A, a circuit 24 for measuring battery impedance is shown. Circuit 24 comprises battery 12 whose impedance is to be measured, an a.c. voltage generator 26 of variable frequency, and a d.c. variable voltage generator 28. 5 Battery 12 and generators 26 and 28 are connected in series in any order but in such a way that battery 12 and the d.c. generator 28 have in common poles of the same sign, in this example the positive poles. The no-load voltage of battery 12 is designated as E_0 , the effective voltage of a.c. generator 26 is designated as V and the voltage of d.c. 10 generator 28 is designated as E. Voltage E is chosen so as to be equal to E_0 to prevent battery 12 issuing a direct current. In this way, the current flowing in the loop made up of battery 12 and generators 26 and 28 has no direct component and its alternating component designated I is determined by the voltage V. Variables V and I are complex numbers and their ratio $V/I = Z = Z' + jZ''$ defines the internal complex impedance of 15 battery 12. This impedance has a real or resistive part Z' and an imaginary or reactive part Z'' . The magnitude of this impedance, $|Z|$, is given by $|Z| = (Z'^2 + Z''^2)^{1/2}$. The battery impedance is a function of the frequency f of the a.c. voltage. In some cases, the battery impedance at a particular frequency also changes with the battery's cycle number. A charged battery that is discharged and then recharged to its original state is 20 said to have gone through a complete battery cycle. A battery's cycle number is the cumulative number of cycles that the battery has gone through.

Referring now to FIGURE 4B, equivalent circuit model parameter extraction device 14 is shown for extracting electrical parameters from the impedance data (i.e., $Z(f_{4Hz})$, $Z(f_{1000Hz})$). It has been determined that in the case of nickel metal hydride batteries, the battery impedance measured at a frequency of 1000 Hz correlates well 25 with the battery cycle number above about 100 cycles, see Doctoral Dissertation of John Weckesser, entitled An Evaluation of the Electrochemical Properties of Metal Hydride Alloys For Rechargeable Battery Applications, Rutger University (1993), which is incorporated herein by reference.

Referring to FIGURE 4C, variation of the logarithm of the impedance (log Z) with battery cycle number for a nickel metal hydride battery is shown. This data allows determination of the battery cycle number (almost independently of battery state of charge) above about 100 cycles. Further, series capacitance of nickel metal hydride batteries varies with both cycle number and battery state of charge. Also, the values of the series capacitance of the nickel metal hydride batteries can be determined by measuring the internal impedance of the batteries at many frequencies and using a circuit model with different circuit elements to fit the complete impedance spectrum, again see Doctoral Dissertation of John Weckesser, Rutger University (1993).

In accordance with the present invention, an effective series capacitance value can be determined by a simple analysis of the battery impedance measured at two frequencies. The effective series capacitance is obtained by measuring the impedance of the battery at 0.4 Hz and measuring the impedance at 1000 Hz. The effective series capacitance is given by the expression $C_{\text{eff}} = 1/(Z(f_{0.4\text{Hz}}) - 1.12 \cdot Z(f_{1000\text{Hz}}))$. A plot of this function is shown in FIGURE 5, wherein a similar functional relationship to the battery cycle number and battery state of charge is found. This is used by system 10' to determine battery 12 state of charge (SOC) given battery 12 impedance measurements made at 0.4 Hz and 1000 Hz.

Referring to FIGURE 6, intelligent system 16 comprises a first intelligent system 16a which is trained in the relationship between the internal electrical characteristics and the SOC of the battery, whereby the intelligent system 16 learns the underlining function f that relates C_{eff} and Cycle #($f_{rc}(R_{\text{ohm}})$) to SOC. First intelligent system 16a receives the equivalent circuit model characteristic parameters of the electrochemical device as inputs and provides the SOC of the electrochemical device as output. A method for determining the characteristic parameters of the electrochemical device, such as SOC and Cycle #, is described in a U.S. Patent Application entitled A Method For Determining State-Of-Charge Using An Intelligent System filed concurrently herewith (Attorney Docket No. 97-1203), which is incorporated herein by reference.

Intelligent system 16 further comprises a second intelligent system 16b which is trained in the relationship between the characteristic parameters of an electrochemical device, including SOC and cycle #, and the characteristic parameters of the load, including power and energy requirements, and the SOH. Second intelligent system 16b receives the SOC produced by first intelligent system 16a and the cycle # extracted from the equivalent circuit model parameters of the electrochemical device and the characteristic load requirements as inputs and outputs the SOH of the electrochemical device.

Referring to FIGURE 7A, first intelligent system 16a is trained in the relationship between the equivalent circuit model internal electrical characteristic parameters and the SOC of the battery. First intelligent system 16a learns the underlying function f_1 that relates C_{eff} and Cycle #($f_{\text{rc}}(R_{\text{ohm}})$) to SOC.

Referring to FIGURE 8A, first intelligent system 16a comprises an additive fuzzy system 18 which learns the relationship between input variables, C_{eff} and Cycle #, and output variables, battery SOC, by use of an expert knowledge and trials and errors. It will be appreciated that any learning method previously discussed can be used to tune the fuzzy system. Also that any intelligent system previously mentioned can be employed in place of the additive fuzzy system.

Referring to FIGURE 7B, the second intelligent system 16b is trained in the relationship between the SOC and Cycle # of the battery, E_l energy required by load, and P_l power required by load, and the SOH. Second intelligent system 16b learns the underlying function f_2 that relates SOC and Cycle #($f_{\text{rc}}(R_{\text{ohm}})$) of a battery, E_l and P_l of the load, to the battery's SOH.

Referring to FIGURE 8B, second intelligent system, 16b comprises a second additive fuzzy system 19 which learns the relationship between input variables, SOC, Cycle #, provided by first intelligent system 16a, E_l energy required by load and P_l power required by load provided by a user, and output variables, battery SOH, by use of an expert's knowledge and trials and errors. It will be appreciated that any learning method previously discussed can be used to tune the fuzzy system. Also that any

intelligent system previously mentioned can be employed in place of the additive fuzzy system.

Referring to FIGURE 8C, alternatively in second intelligent system 16a, the characteristic parameters of the load are learned online by a neural network 21. Neural network 21 takes as inputs, characteristics of the load discharging profile including, the voltage across the load V_l and the discharge current I_l through the load as a function of time, and outputs estimates of the power P_l and energy E_l requirements of the load. Neural network Z_l forecasts the power P_l and E_l requirements of the load based on the load V_l and the discharge current I_l at a plural of times.

It will be appreciated that the SOH of battery 12 is really a function of the complex impedance and load requirements, and that the parameters, SOC and Cycle # are useful but are not in and of themselves required in a fuzzy system model. The direct relationship between the complex impedance and SOC is as follows:

$$SOH = f_2(SOC, \text{Cycle } \#, E_l, P_l) \quad \text{EQUATION 4,}$$

$$SOC = f(C_{\text{eff}}, \text{Cycle } \#) \quad \text{EQUATION 5,}$$

$$\text{Cycle } \# = f_{rc}(R_{\text{ohm}}) = f_{rc}(f(Z(f_{1000}))) = f_{rc}(Z(f_{1000})) \quad \text{EQUATION 6,}$$

therefore,

$$SOH = f(Z(f_{1000}), Z(f_{1000}), E_l, P_l) \quad \text{EQUATION 7.}$$

Intelligent system 16 may be trained directly in the relationship between the impedance, $Z_{.4\text{Hz}}$ and $Z_{1000\text{Hz}}$, the power/energy requirements of the load and SOH. It will be appreciated that the present embodiment is not dependent upon the impedance at the particular frequencies, .4 Hz and 1000 Hz, such being merely exemplary.

Referring to FIGURE 9A, a circuit 30 for measuring impedance of battery 12 at two frequencies is generally shown. Circuit 30 comprises battery 12 whose impedance is to be measured and an a.c. signal generator 32. A small amplitude, perturbing sinusoidal signal, $x(t) = X_0 \sin(\omega t)$, is applied to battery 12. The response of battery 12

to this perturbing signal is $S(t) = X_0 K(\omega) \sin(\omega[t + \phi(\omega)])$ and is correlated with two reference signals, one in phase with $x(t)$ and the other 90° out of phase with $x(t)$, i.e., $\sin(\omega t)$ and $\cos(\omega t)$, in order to calculate :

$$\Re = \frac{1}{T} \int_0^T S(t) \sin \omega t dt$$

EQUATION 8

$$\Im = \frac{1}{T} \int_0^T S(t) \cos \omega t dt$$

EQUATION 9

5

This allows the elimination of higher order harmonics than the fundamental and with an appropriate selection of a frequency window and multiple measurements, noise rejection can be very high. In the limit as

10

$$T \rightarrow \infty, \Re \rightarrow K(\omega) \cos \phi(\omega), \Im \rightarrow K(\omega) \sin \phi(\omega)$$

15

where $K(\omega)$ represents the amplitude of the impedance at frequency $\omega/2\pi$ and $\phi(\omega)$ represents the phase of the impedance at frequency $\omega/2\pi$. Circuit 30 allows the determination of the impedance at different frequencies and may be set up to measure the impedance at the two frequencies of interest, such an instrument which can be used to perform the impedance measurements is commercially available as the Solartron 1260 Impedance/Gain-Phase Analyzer.

20

To determine battery SOC once the impedance is known, a circuit 34 (FIGURE 9B) may be used. The impedance values at the two frequencies from circuit 30 are fed into a microcontroller 34 (e.g., Motorola MC68HC11/12) either as analog or digital signals, analog signals would be fed into the A/D converters on microcontroller 34 where they would be converted to digital signals. The impedance at 1000 Hz., Z_1 , is stored in a first one memory location and the impedance at 0.4 Hz., Z_2 , is stored at a second memory location. The impedance Z_2 is then multiplied by a factor of, e.g., 1.12 in microcontroller 34 and the result stored in a third memory location. The difference between Z_1 and $1.12 \times Z_2$ is then calculated in microcontroller 34 and the difference stored in a fourth memory location. The inverse of this quantity located in the fourth

memory location is then calculated and the result stored in a fifth memory location. The cycle number is stored at a sixth location and the impedance stored in the fifth memory location serve as the input variables for the fuzzy system to determine battery 12 state of charge, as described above. The output of this first fuzzy system and the SOC, is stored in a seventh memory location. The load requirement, provided by either the user or a neural network trained to predict the load requirements based upon the past discharging characteristics are stored in an eighth memory location E_1 and P_1 is stored in a ninth memory location. The cycle number stored in the sixth memory location , the SOC stored in the seventh memory location , E_1 stored in the eighth 10 memory location, and P_1 ninth memory location serve as input variables for the second fuzzy system to determine the battery's SOH. The battery state of health is then output to a display driver 36 and interfaced to a liquid crystal display 38.

Referring to FIGURE 10, an alternative system for determining the SOH of battery 12 by relating the external operating conditions such as, e.g., discharge rate, 15 cycle number, calendar life and recovery time to a specified open circuit voltage (OCV) after a deep discharge and environmental conditions such as, e.g., temperature, battery orientation, magnetic field and g-force, and which infer the discharge efficiency to its SOH is generally shown at 40. System 40 comprises battery system 12 for which SOH is to be determined, an operating characteristic processing unit 42, an environmental 20 characteristic processing unit 44, intelligent system 16 and a processing unit 46 for determining SOH.

In this embodiment, intelligent system 16 comprises intelligent system 16a which is trained in the relationship between the external operating conditions, including discharge rate, battery voltage, both as a function of time and environmental conditions, 25 such as temperature, of an electrochemical device and the SOC of the electrochemical device. First intelligent system 16a receives the external operating conditions and environmental conditions as inputs and provides SOC (and the Cycle # for a rechargeable battery) of the electrochemical device as output. Intelligent system 16 further comprises a second intelligent system 16b which is trained in the relationship 30 between the external operating conditions and environmental conditions, and the

characteristic load requirement. Second intelligent system 16b receives the external operating conditions, including discharge rate, battery voltage, both as a function of time and environmental conditions, such as temperature, of the electrochemical device as inputs, and outputs the characteristic load requirements, including the power and energy requirements. Intelligent system 16 also comprises a third intelligent system 16c which takes the output of first intelligent system 16a, SOC, and the output of second intelligent system 16b, characteristic load requirements, as inputs and determines the battery SOH as an output.

It is within the scope of the present invention that power management specifications may be determined for a Smart Battery System (SBS). Intelligent system 16 is trained in the relationship between the battery characteristic parameter including the operating conditions of a battery and the equivalent circuit parameters of a battery, and characteristic load parameters including the load power and the load energy requirement, and the detailed SOH of the battery. The detailed SOH of a battery comprises the power management specifications according to the SBS standards. This includes the battery SOC, remaining capacity, time remaining at present discharge rate, predicted time remaining at a host specified rate, etc. (see, "Smart Battery System Overview" by Francis Truntzer, Intel Corporation, Proceedings of Developer's Conference and Interoperability Workshop, Hawaii (February 1998), which is incorporated herein by reference).

It is also within the scope of the present invention that SOH as related to a battery's end of cycle life can easily be determined when characteristic parameters of a load are inputted into the intelligent system and the SOH of the battery is outputted.

While preferred embodiments have been shown and described, various modifications and substitutions may be made thereto without departing from the spirit and scope of the invention. Accordingly, it is to be understood that the present invention has been described by way of illustrations and not limitation.

What is claimed is:

CLAIM 1. A method of determining a state of health of an electrochemical device connected to a load; comprising:

detecting at least one characteristic of said electrochemical device;

detecting at least one characteristic of said load; and

5 determining said state of health of said electrochemical device from at least one intelligent system trained in a relationship between said at least one characteristic of said electrochemical device and said at least one characteristic of said load and said state of health.

CLAIM 2: The method of determining said state of health of claim 1 wherein said at least one characteristic of said electrochemical device comprises at least one internal electrical characteristic of said electrochemical device.

CLAIM 3. The method of determining said state of health of claim 1 wherein said at least one characteristic of said electrochemical device comprises at least one external characteristic of said electrochemical device.

CLAIM 4. The method of determining said state of health of claim 2 wherein said at least one internal electrical characteristic of said electrochemical device comprises at least one internal impedance of said electrochemical device.

CLAIM 5. The method of determining said state of health of claim 4 wherein said at least one internal impedance comprises a first internal impedance at a first frequency.

CLAIM 6. The method of determining said state of health of claim 5 wherein said at least one internal impedance further comprises a second internal impedance at a second frequency.

CLAIM 7. The method of determining said state of health of claim 1 wherein said intelligent system comprises a fuzzy system.

CLAIM 8. The method of determining said state of health of claim 7 wherein said fuzzy system comprises an additive fuzzy system.

CLAIM 9. The method of determining said state of health of claim 8 wherein said additive fuzzy system mathematically comprises:

$$F(x) = \frac{\sum_{j=1}^m w_j a_j(x) V_j c_j}{\sum_{j=1}^m w_j a_j(x) V_j}$$

$$a_j(x) = \prod_{i=1}^n a_j^i(x_i)$$

$$B = \sum_{j=1}^m w_j B_j = \sum_{j=1}^m w_j a_j(x) B_j$$

where,

5

w_j is a weight of rule j ,

a_j^i represents if-part set function, membership function of input i of rule j on input i ,

a_j represents joint if-part set function, result of " a_j^1 'and' a_j^2 'and' a_j^i ", that states a degree to which an input x belongs to the if-part fuzzy set a_j ,

B_j represents then-part set function, membership function j on an output,

10

V_j is a finite positive volume or area of the then-part set B_j ,

c_j is a centroid of the then-part set B_j ,

B_j is a scaled then-part set, scaled output membership function j , result of $a_j(x) B_j$, and

B is an output set prior to defuzzification.

CLAIM 10. The method of determining state of health of claim 1 wherein said electrochemical device comprises a primary battery, a rechargeable battery, a fuel cell, a hybrid battery containing a fuel cell electrode or an electrochemical supercapacitor.

CLAIM 11. The method of determining said state of health of claim 3 wherein said at least one external characteristic comprises an external operating condition and an environmental condition.

CLAIM 12. The method of determining said state of health of claim 1 wherein said at least one intelligent system comprises:

a first intelligent system trained in a relationship between an internal electrical characteristic of said electrochemical device and a state of charge of said electrochemical device; and

5 a second intelligent system trained in a relationship between said state of charge and said characteristic of said load and said state of health.

CLAIM 13. The method for determining said state of health of claim 1 wherein:

said characteristic of said electrochemical device comprised a state of charge or a cycle number of said electrochemical device; and

5 said characteristic of said load comprises power or energy requirements of said load.

CLAIM 14. The method for determining said state of health of claim 1 wherein said relationship comprises:

SOH = f(Z(f₁), Z(f₂), E, P) where Z(f₁) is an internal impedance of said electrochemical device at a first frequency Z(f₂) is an internal impedance of said electrochemical device at a second frequency, E is the energy requirements of said load, and P is the power requirements of said load.

CLAIM 15. The method for determining said state of health of claim 12 wherein:

said first intelligent system comprises a fuzzy system; and
said second intelligent system comprises a neural network.

CLAIM 16. The method for determining said state of health of claim 1 wherein said at least one intelligent system comprises:

a first intelligent system trained in a relationship between an external operating condition and an environmental condition and a state of charge of said electrochemical device;

a second intelligent system trained in a relationship between said external operating condition and said environmental condition and said characteristic of said load; and

10 a third intelligent system trained in a relationship between said state of charge from said first intelligent system and said characteristic of said load from said second intelligent system and said state of health.

CLAIM 17. A system for determining a state of health of an electrochemical device, comprising:

a first sensor for detecting at least one characteristic of said electrochemical device and providing a first sensed signal indicative thereof; and

5 a second sensor for detecting at least one characteristic of said load and providing a second sensed signal indicative thereof; and

10 a signal processor responsive to said first and second sensed signals for determining said state of health of said electrochemical device and providing a state of health signal, said signal processor including an intelligent system trained in a relationship between said at least one characteristic of said electrochemical device and said at least one characteristic of said load and said state of health.

CLAIM 18. The system of determining said state of health of claim 17 wherein said at least one characteristic of said electrochemical device comprises at least one internal electrical characteristic of said electrochemical device.

CLAIM 19. The system of determining said state of health of claim 17 wherein said at least one characteristic of said electrochemical device comprises at least one external characteristic of said electrochemical device.

CLAIM 20. The system of determining said state of health of claim 18 wherein said at least one internal electrical characteristic of said electrochemical device comprises at least one internal impedance of said electrochemical device.

CLAIM 21. The system of determining said state of health of claim 20 wherein said at least one internal impedance comprises a first internal impedance at a first frequency.

CLAIM 22. The system of determining said state of health of claim 21 wherein said at least one internal impedance further comprises a second internal impedance at a second frequency.

CLAIM 23. The system of determining said state of health of claim 17 wherein said intelligent system comprises a fuzzy system.

CLAIM 24. The system of determining said state of health of claim 23 wherein said fuzzy system comprises an additive fuzzy system.

CLAIM 25. The system of determining said state of health of claim 24 wherein said additive fuzzy system mathematically comprises:

$$F(x) = \frac{\sum_{j=1}^m w_j a_j(x) V_j c_j}{\sum_{j=1}^m w_j a_j(x) V_j}$$

$$a_j(x) = \prod_{i=1}^n a_j^i(x_i)$$

$$B = \sum_{j=1}^m w_j B_j = \sum_{j=1}^m w_j a_j(x) B_j$$

where,

5 w_j is a weight of rule j,

a_j^i represents if-part set function, membership function of input i of rule j on input i;

a_j represents joint if-part set function, result of " a_j^1 'and' a_j^2 'and' a_j^i ", that states a degree to which an input x belongs to the if-part fuzzy set a_j ,

B_j represents then-part set function, membership function j on an output,

10 V_j is a finite positive volume or area of the then-part set B_j ,

c_j is a centroid of the then-part set B_j ,

B_j is a scaled then-part set, scaled output membership function j, result of $a_j(x)B_j$, and

B is an output set prior to defuzzification.

CLAIM 26. The system of determining state of health of claim 17 wherein said electrochemical device comprises a primary battery, a rechargeable battery, a fuel cell, a hybrid battery containing a fuel cell electrode or an electrochemical supercapacitor.

CLAIM 27. The system of determining state of health of claim 19 wherein said at least one external characteristic comprises an external operating condition and an environmental condition.

CLAIM 28. The system of determining said state of health of claim 17 wherein said at least one intelligent system comprises:

a first intelligent system trained in a relationship between an internal electrical characteristic of said electrochemical device and a state of charge of said electrochemical device; and

5 a second intelligent system trained in a relationship between said state of charge and said characteristic of said load and said state of health.

CLAIM 29. The system for determining said state of health of claim 17 wherein:

said characteristic of said electrochemical device comprised a state of charge or a cycle number of said electrochemical device; and

5 said characteristic of said load comprises power or energy requirements of said load.

CLAIM 30. The system of for determining said state of health of claim 17 wherein said relationship comprises:

SOH = $f(Z(f_1), Z(f_2), E, P)$ where $Z(f_1)$ is an internal impedance of said electrochemical device at a first frequency $Z(f_2)$ is an internal impedance of said electrochemical device at a second frequency, E is the energy requirements of said load, and P is the power requirements of said load.

5 CLAIM 31. The method for determining said state of health of claim 28 wherein:

said first intelligent system comprises a fuzzy system; and

said second intelligent system comprises a neural network.

CLAIM 32. The method for determining said state of health of claim 17 wherein said at least one intelligent system comprises:

a first intelligent system trained in a relationship between an external operating condition and an environmental condition and a state of charge of said electrochemical device;

5 a second intelligent system trained in a relationship between said external operating condition and said environmental condition and said characteristic of said load; and

10 a third intelligent system trained in a relationship between said state of charge from said first intelligent system and said characteristic of said load from said second intelligent system and said state of health.

CLAIM 33. The system for determining state of health of claim 17 further comprising:

a display responsive to said state of health signal for displaying said state of health.

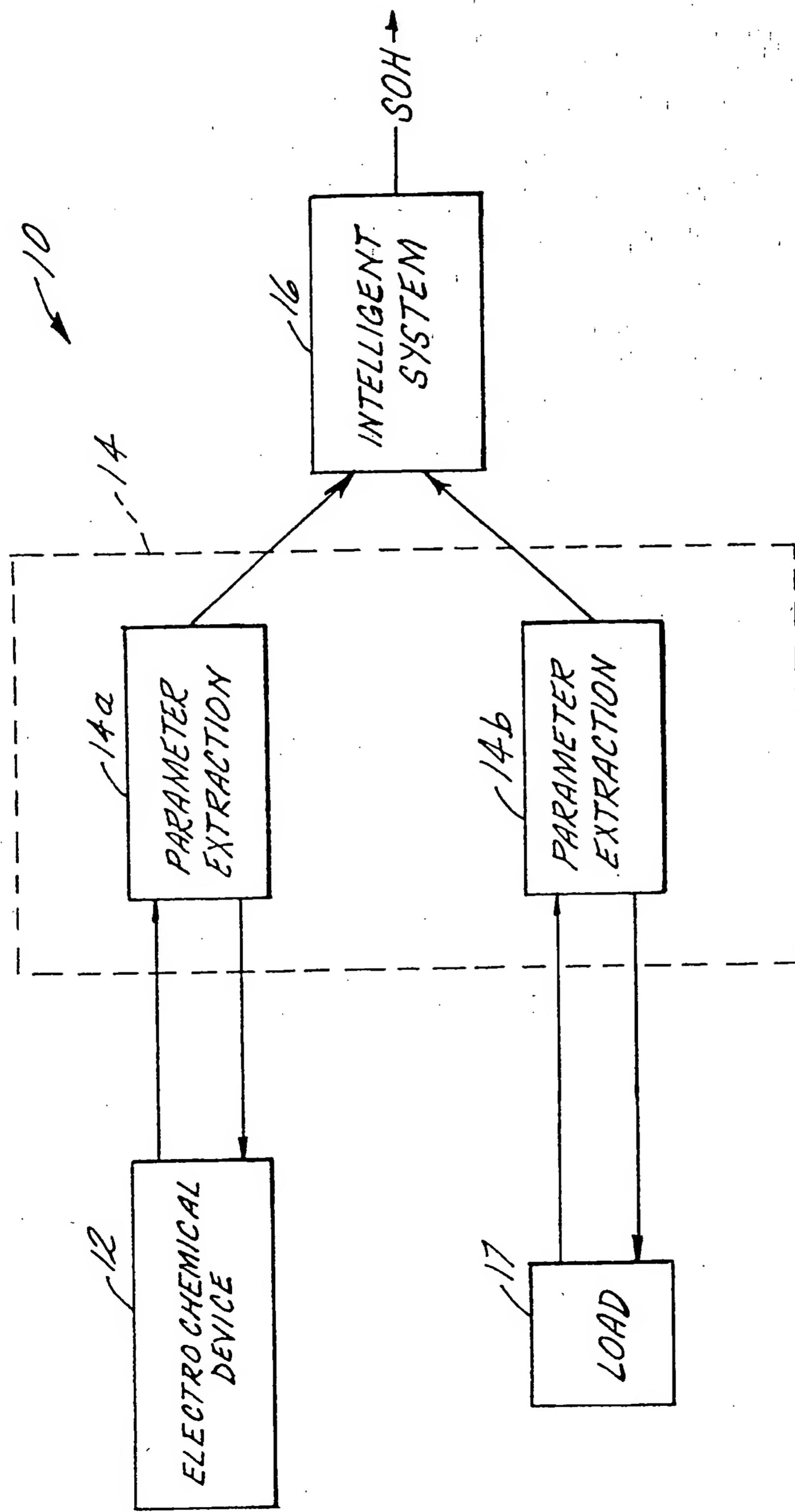


FIG. 1

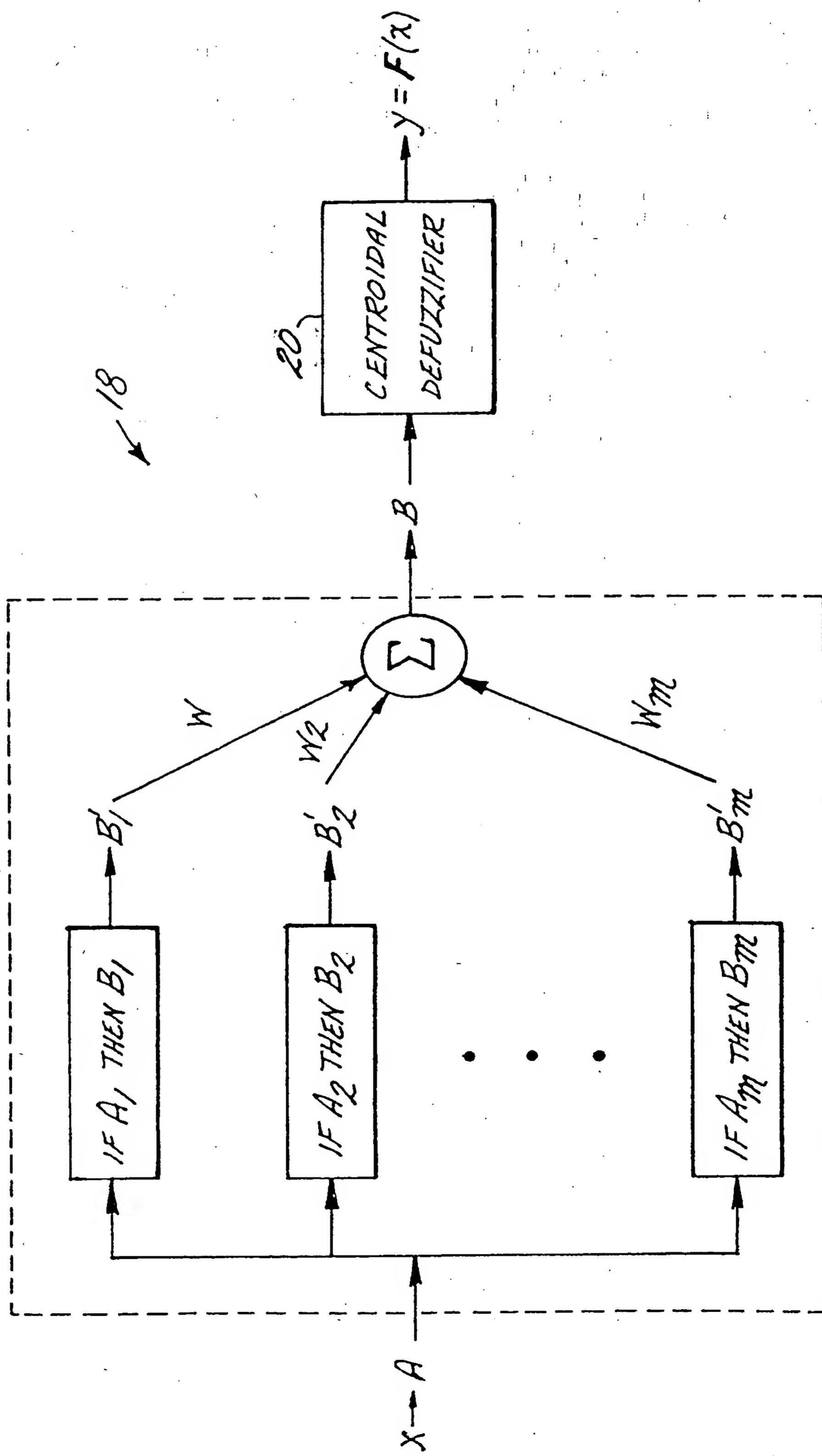


FIG. 2

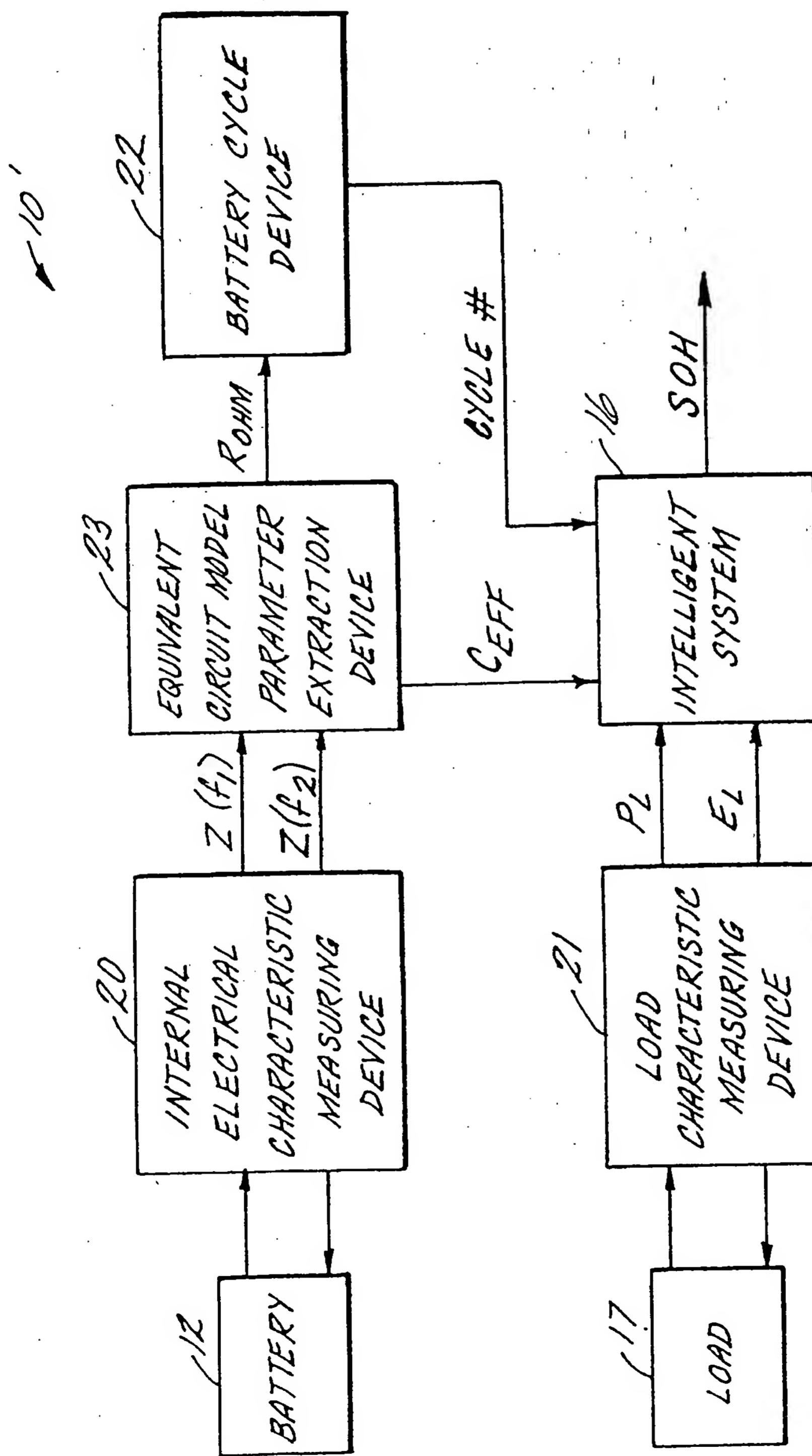


FIG. 3

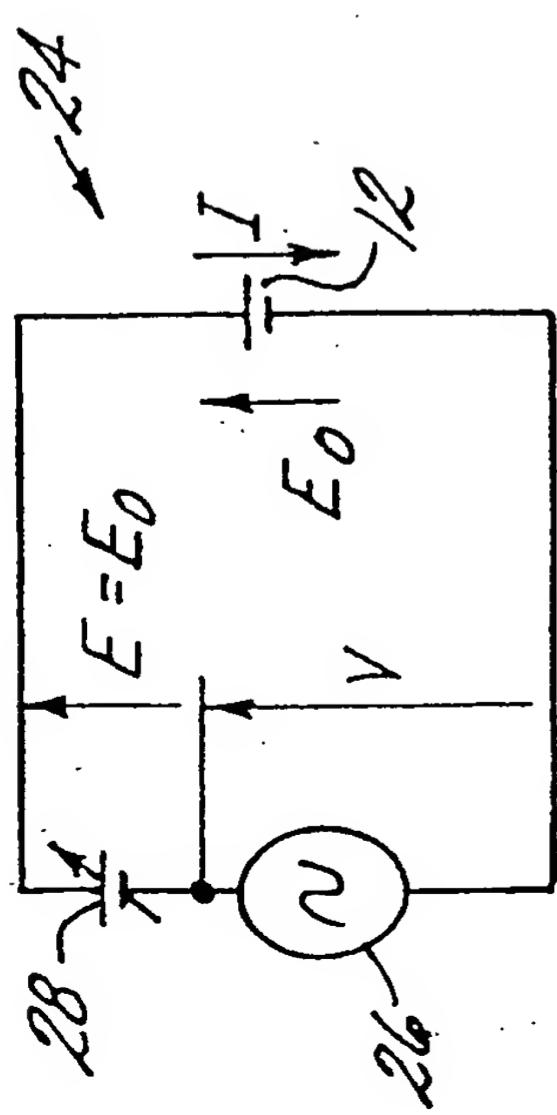


FIG. 4A

14

$C_{EFF} = f(Z(f, 4\text{Hz}), Z(f, 1000\text{Hz}))$

$= 1/(Z(f, 4) - 1.122(Z(f, 1000)))$

$Z(f, 1000\text{Hz})$

$R_{OHM} = f(Z(f, 1000\text{Hz})) = Z(f, 1000\text{Hz})$

FIG. 4B

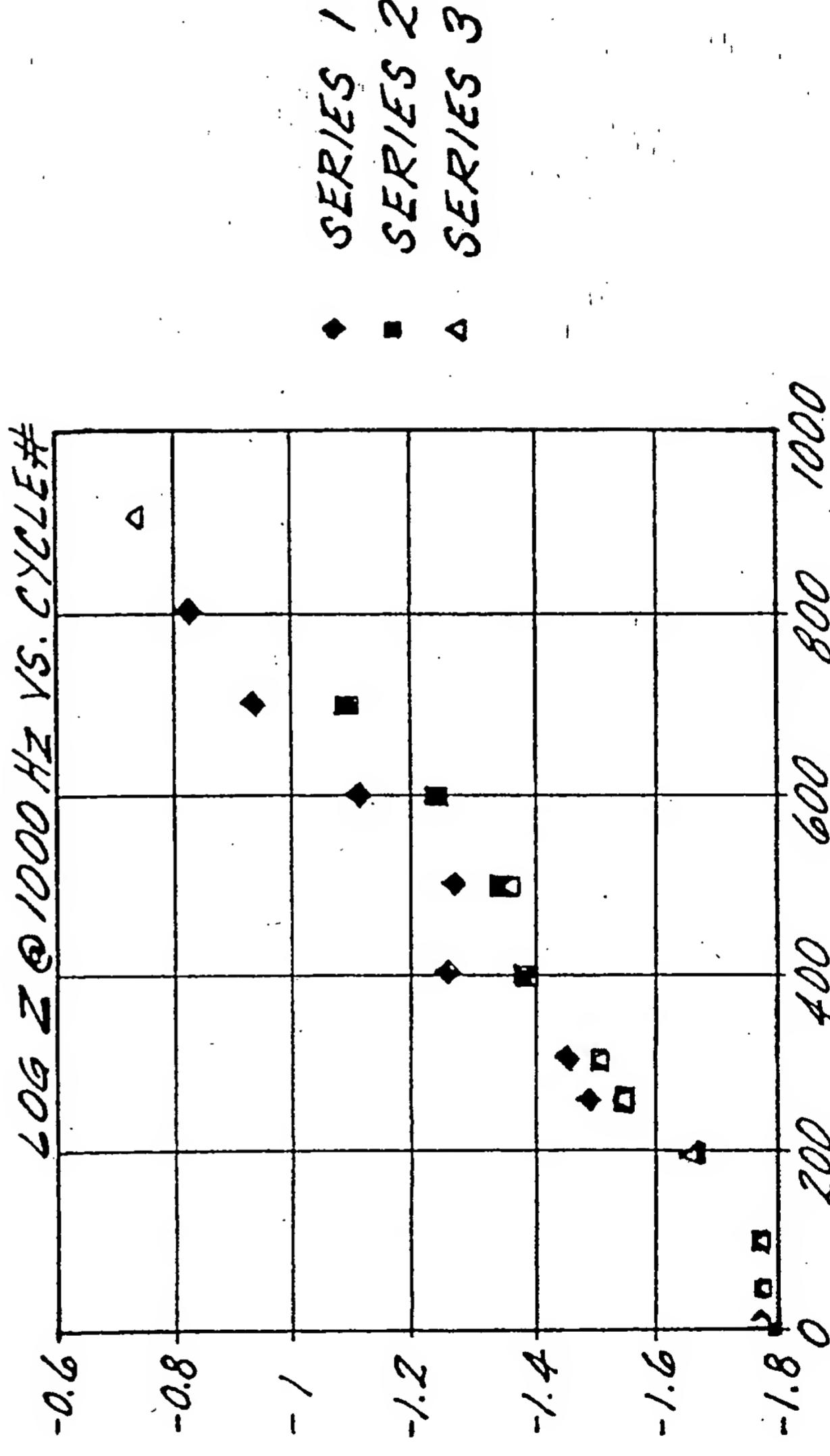


FIG. 4C

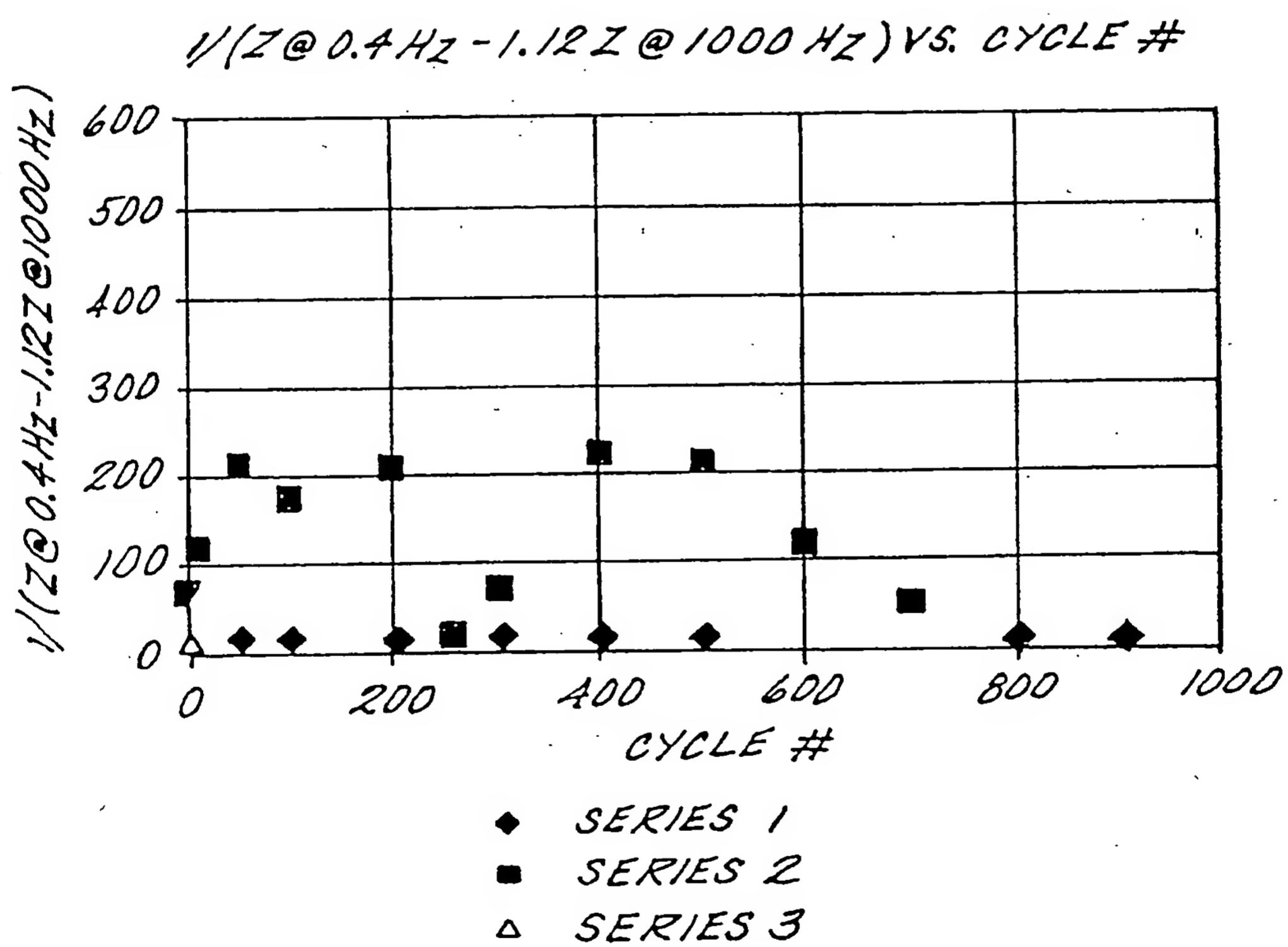


FIG. 5

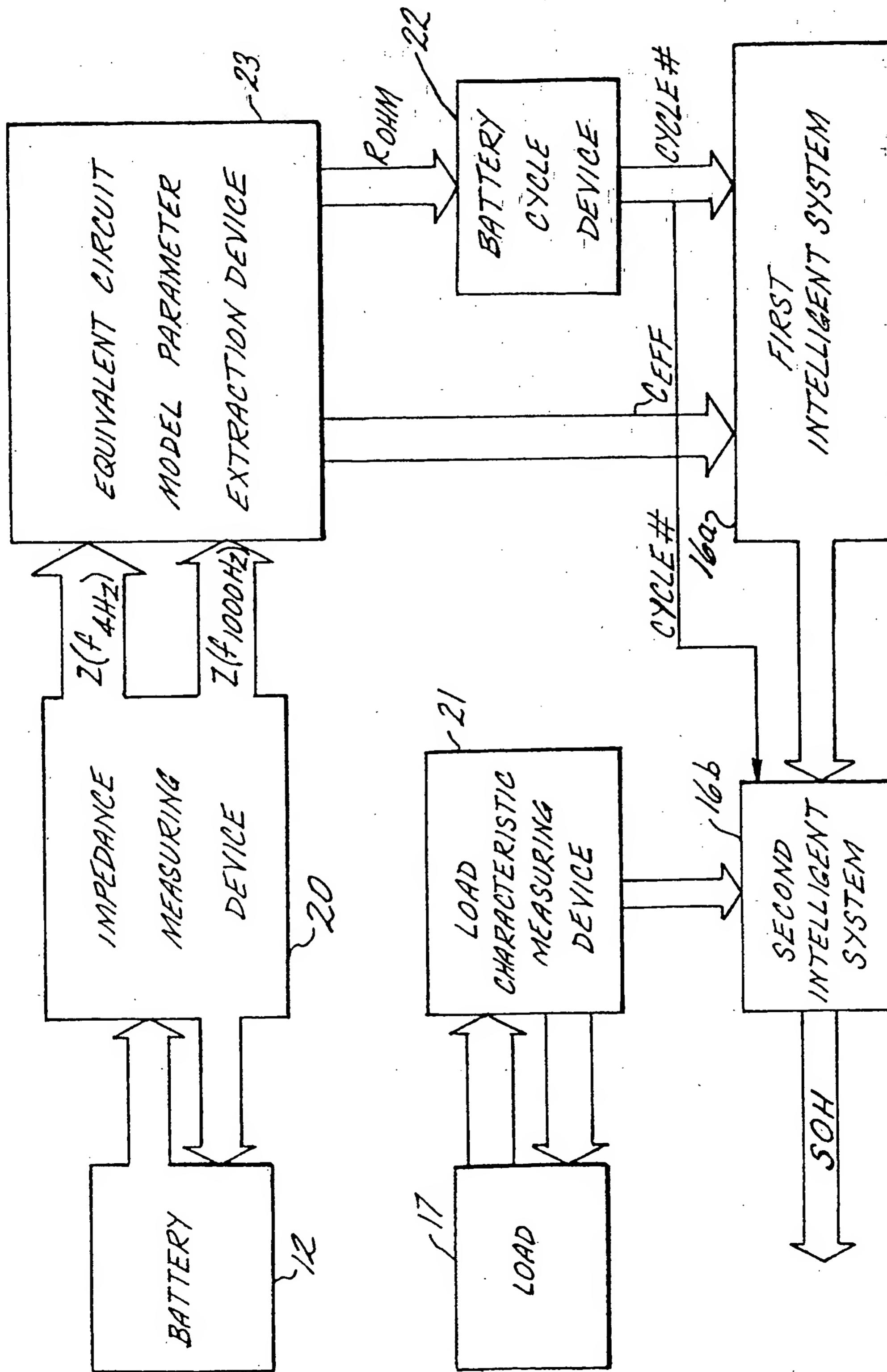


FIG. 6

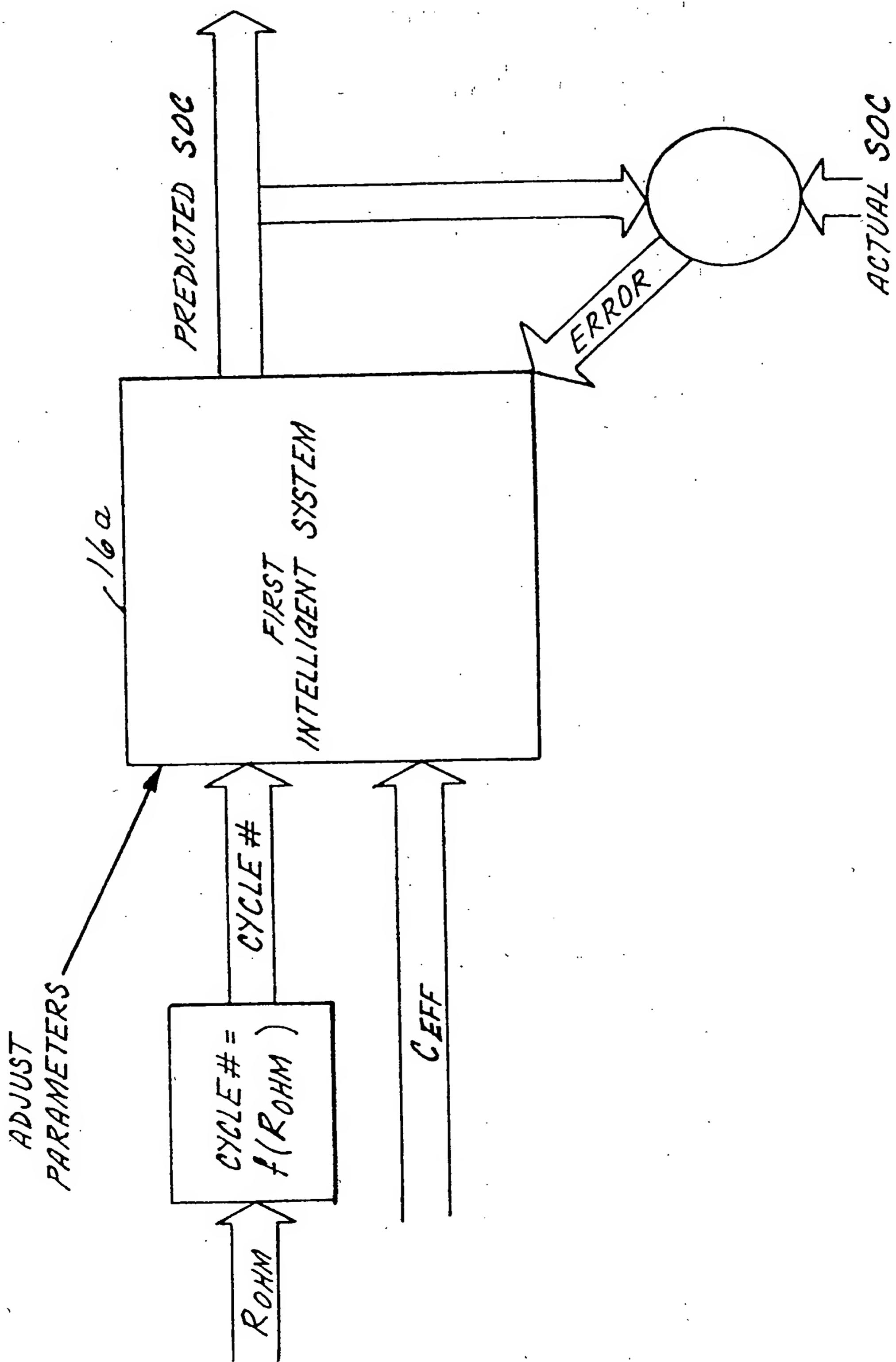


FIG. 7A

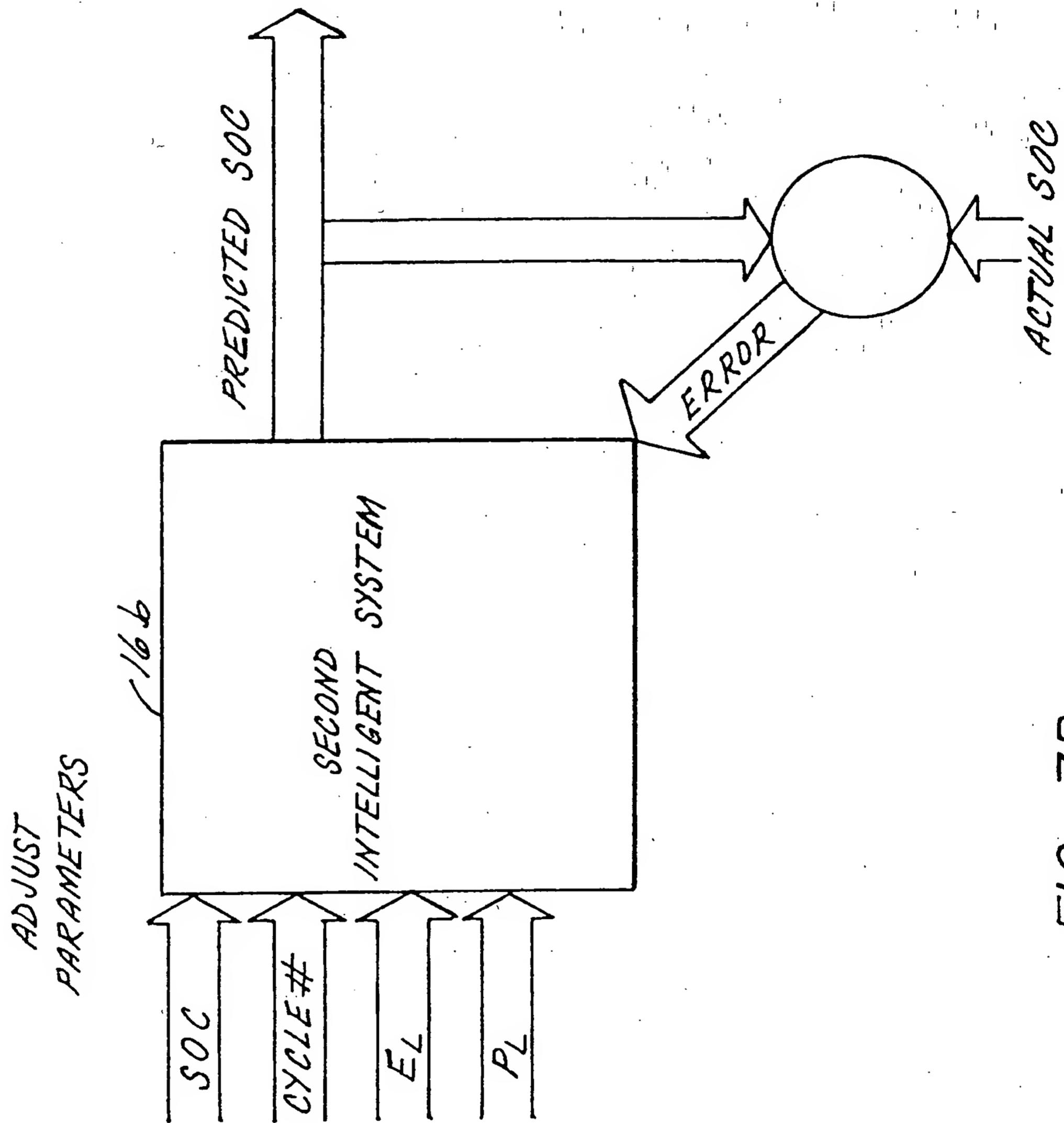


FIG. 7B

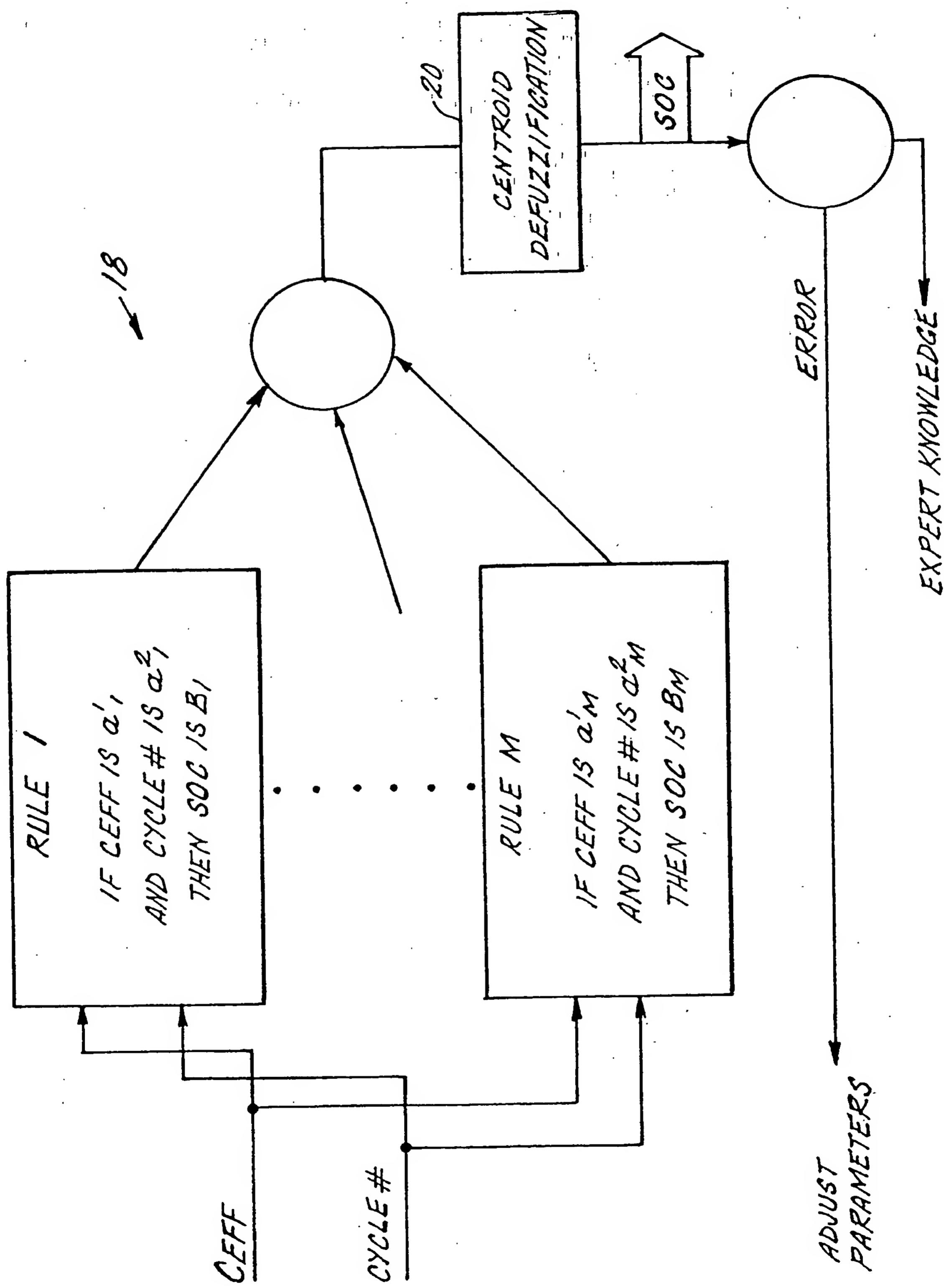


FIG. 8A

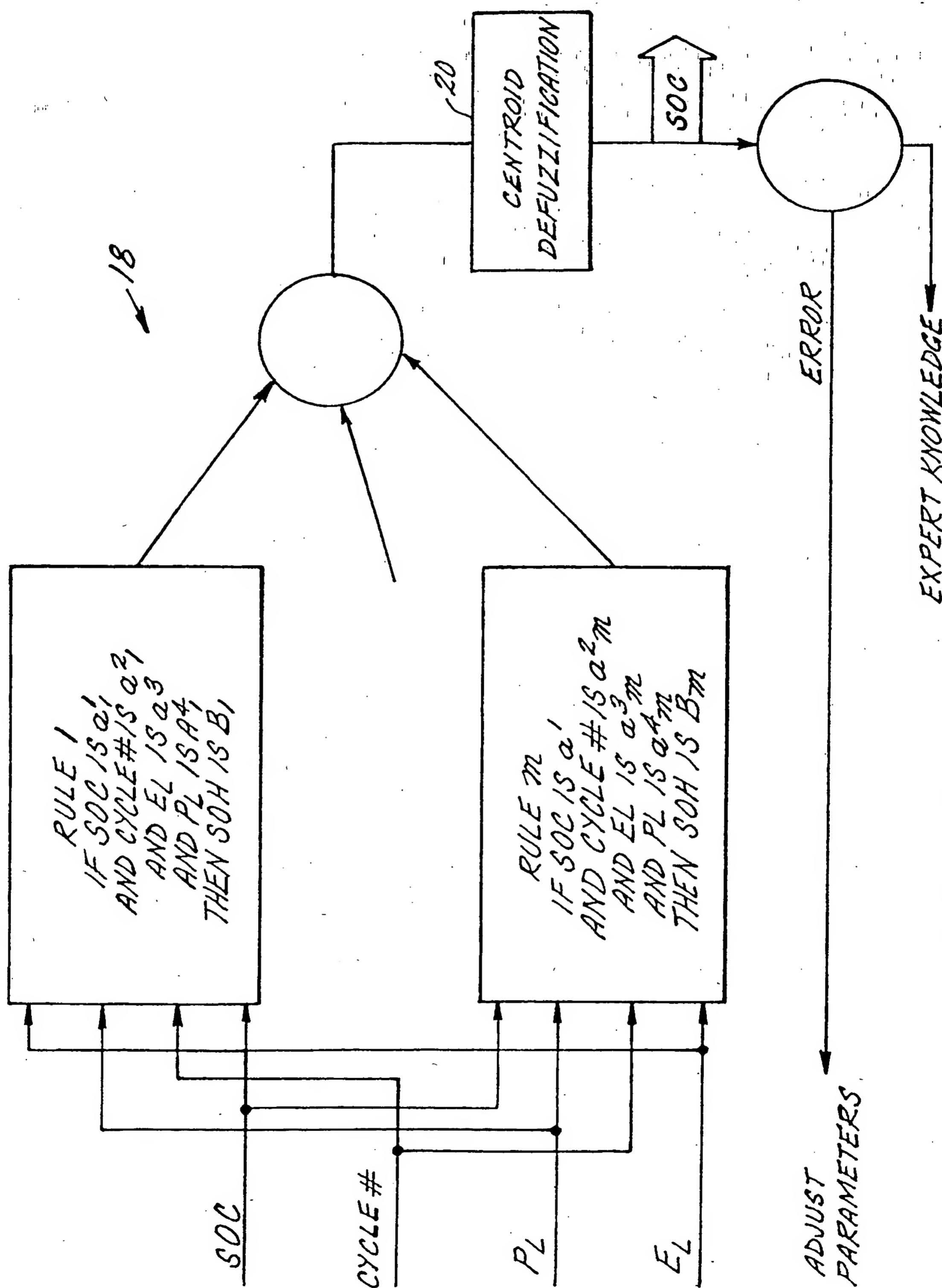


FIG. 8B

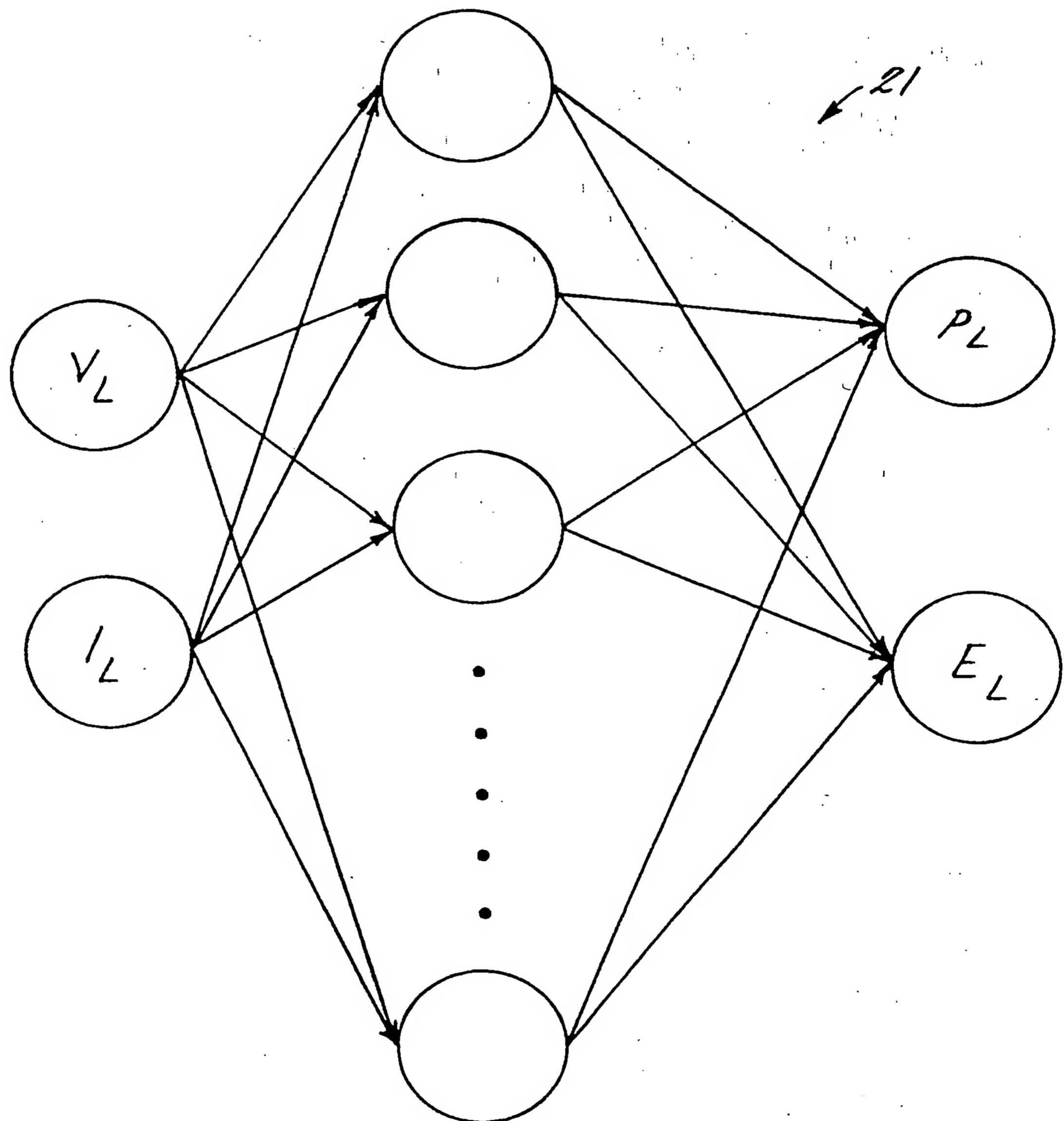


FIG. 8C

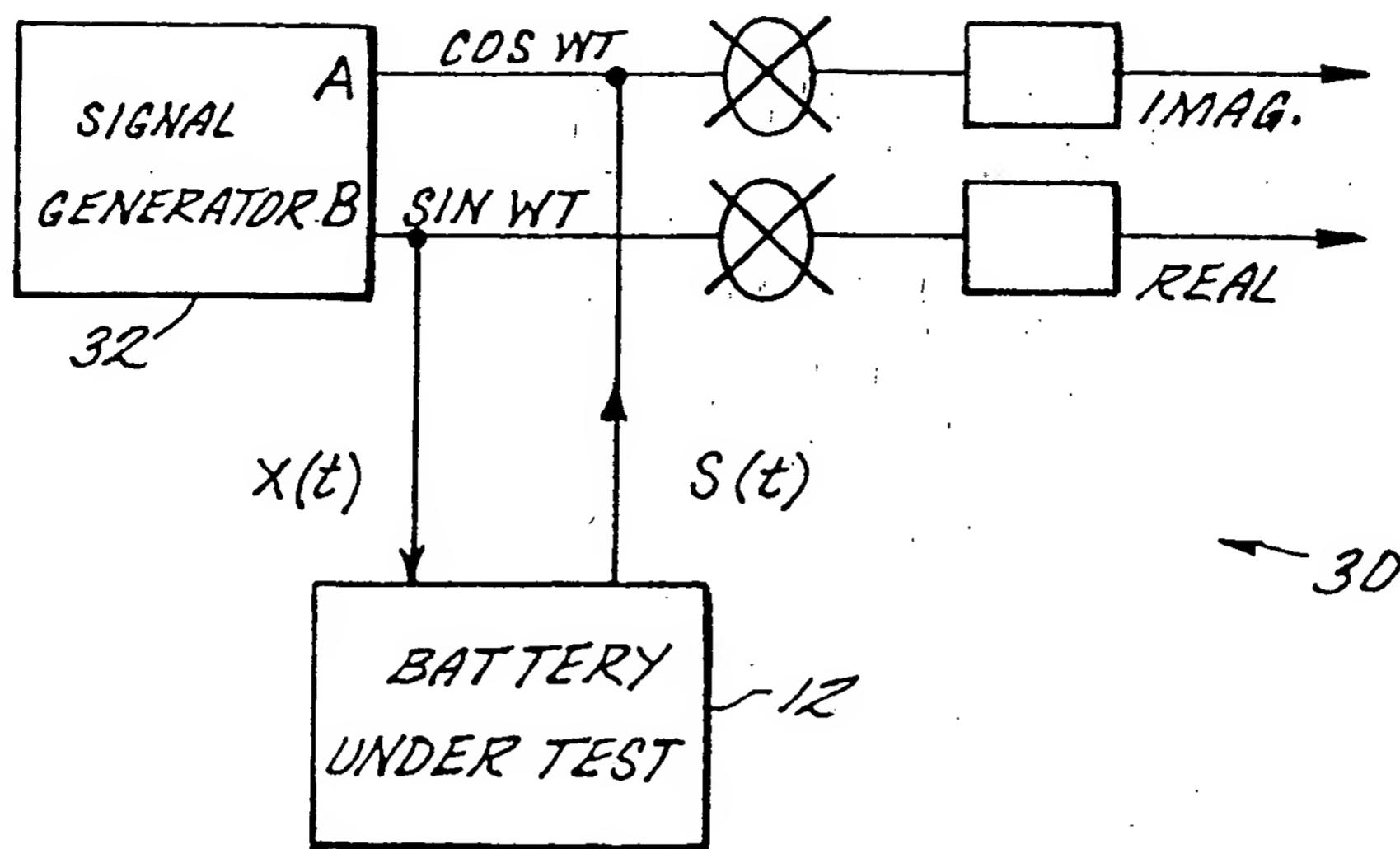


FIG. 9A

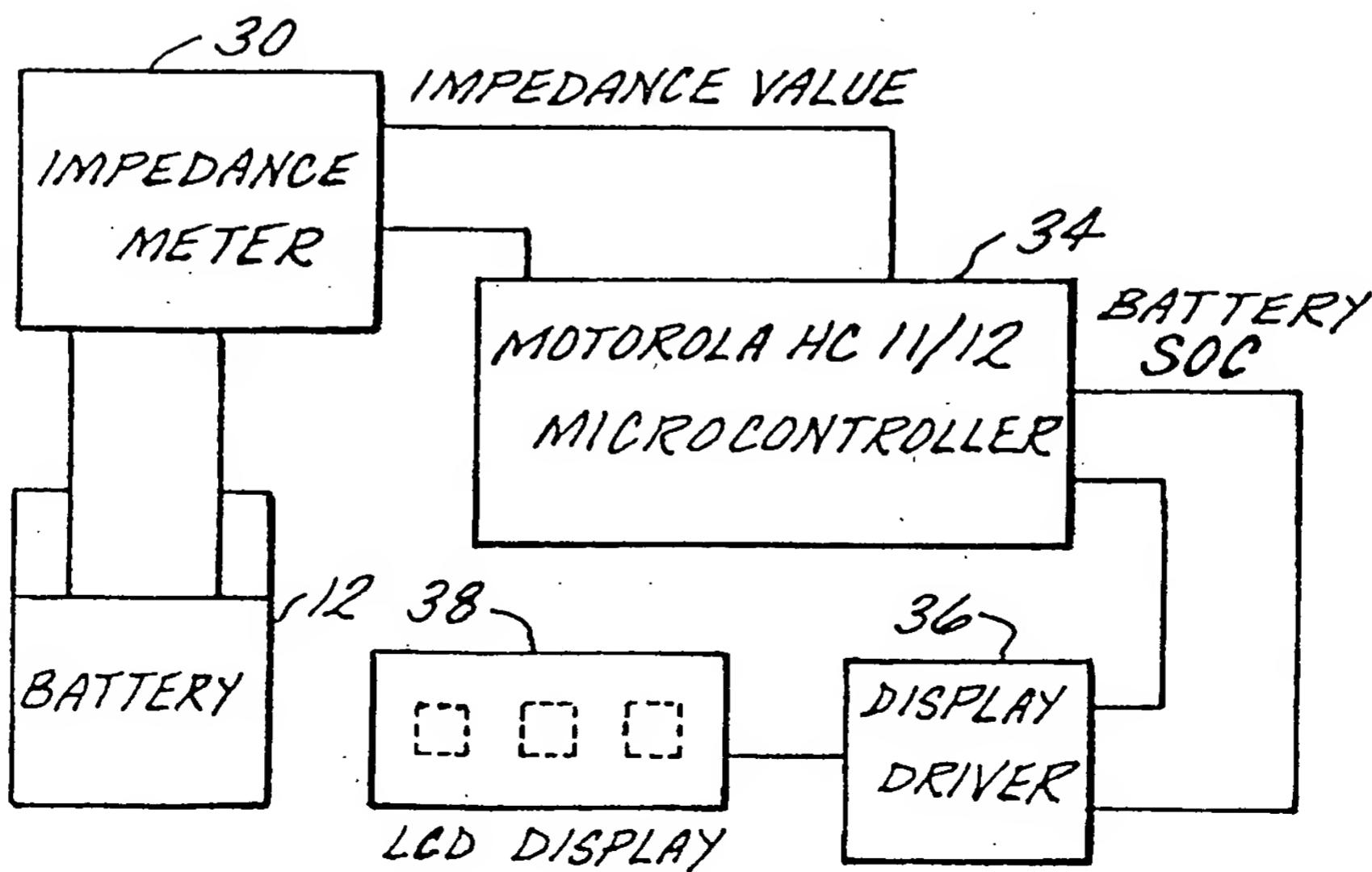


FIG. 9B

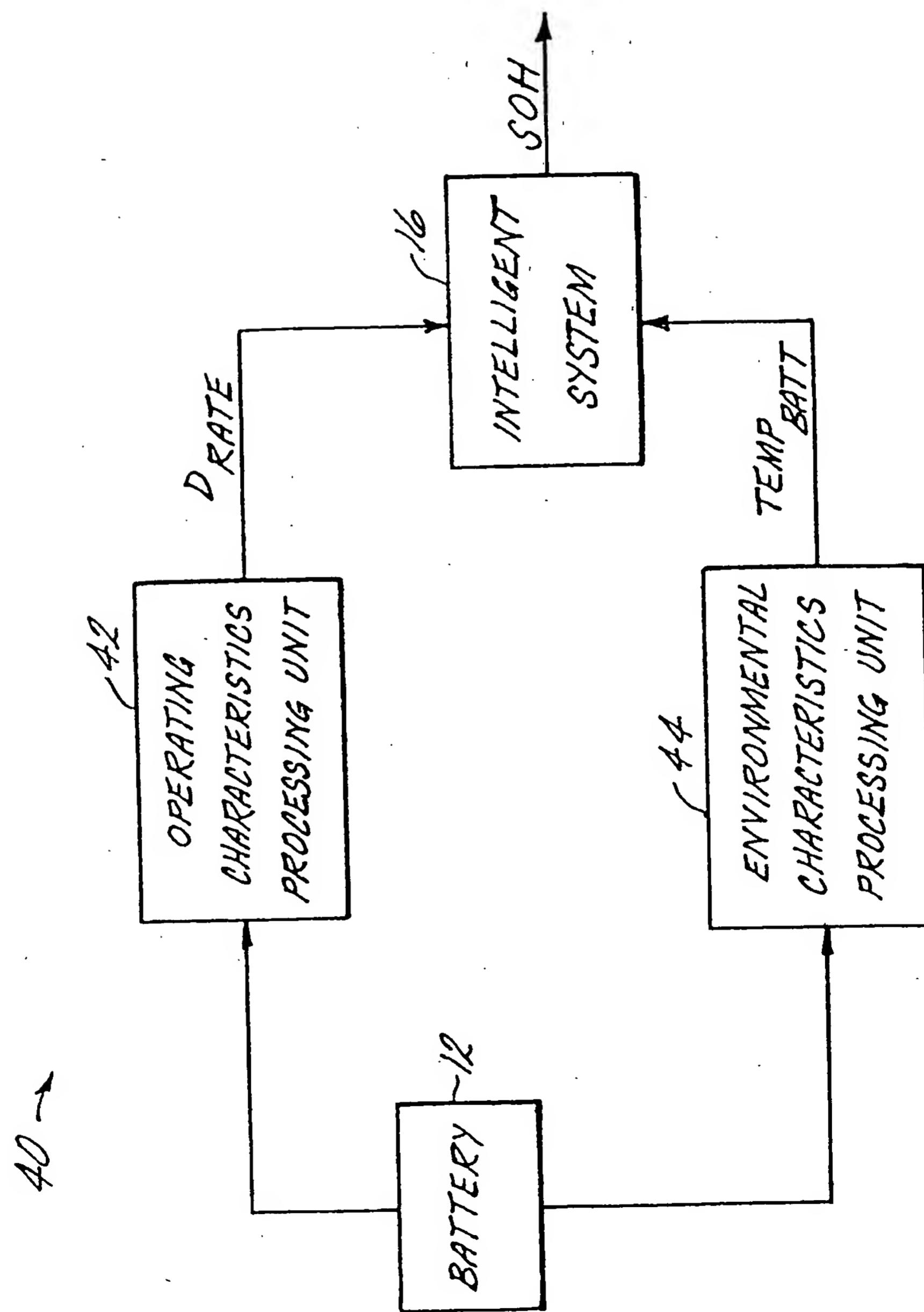


FIG. 10

INTERNATIONAL SEARCH REPORT

International application No.
PCT/US98/04882

A. CLASSIFICATION OF SUBJECT MATTER

IPC(6) :HO2J 15/00
US CL :702/63, 60, 64; 320/132, 134, 136, DIG 21; 706/900

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

U.S. : Please See Extra Sheet.

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched
None

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

Maya, Dialog, IEEE, APS

search terms: intelligent, fuzzy logic, neural networks, artificial intelligence, electrochemical, battery, fuel cell

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	US 5,541,489 A (DUNSTAN) 30 July 1996, Abstract, figures 3, 4, 5A, 6-8, col. 3, lines 59-67, col. 4, lines 1-10.	1-3, 10, 11, 13, 17-19, 26, 27, 29, 33
Y		-----
		4-8, 14, 20-24, 30
Y	US 5,241,275 A (FANG) 31 August 1993, Abstract.	4-6, 14, 20-22, 30
Y	US 5,579,439 A (KHAN) 26 November 1996, Abstract, figure 5.	7, 8, 23, 24
Y, P	US 5,714,866 A (S et al.) 03 February 1998, Abstract, figures 3, 4, col. 22, lines 30-34.	7, 8, 23, 24

Further documents are listed in the continuation of Box C.



See patent family annex.

Special categories of cited documents:	"T"	Inter document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
"A" document defining the general state of the art which is not considered to be of particular relevance	"X"	document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
"E" earlier document published on or after the international filing date	"Y"	document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art
"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)	"&"	document member of the same patent family
"O" document referring to an oral disclosure, use, exhibition or other means		
"P" document published prior to the international filing date but later than the priority date claimed		

Date of the actual completion of the international search

03 JUNE 1998

Date of mailing of the international search report

24 AUG 1998

Name and mailing address of the ISA/US
Commissioner of Patents and Trademarks
Box PCT
Washington, D.C. 20231

Faximile No. (703) 305-3230

Authorized officer

HAL D. WACHSMAN

Telephone No. (703) 305-9788

INTERNATIONAL SEARCH REPORT

International application No.

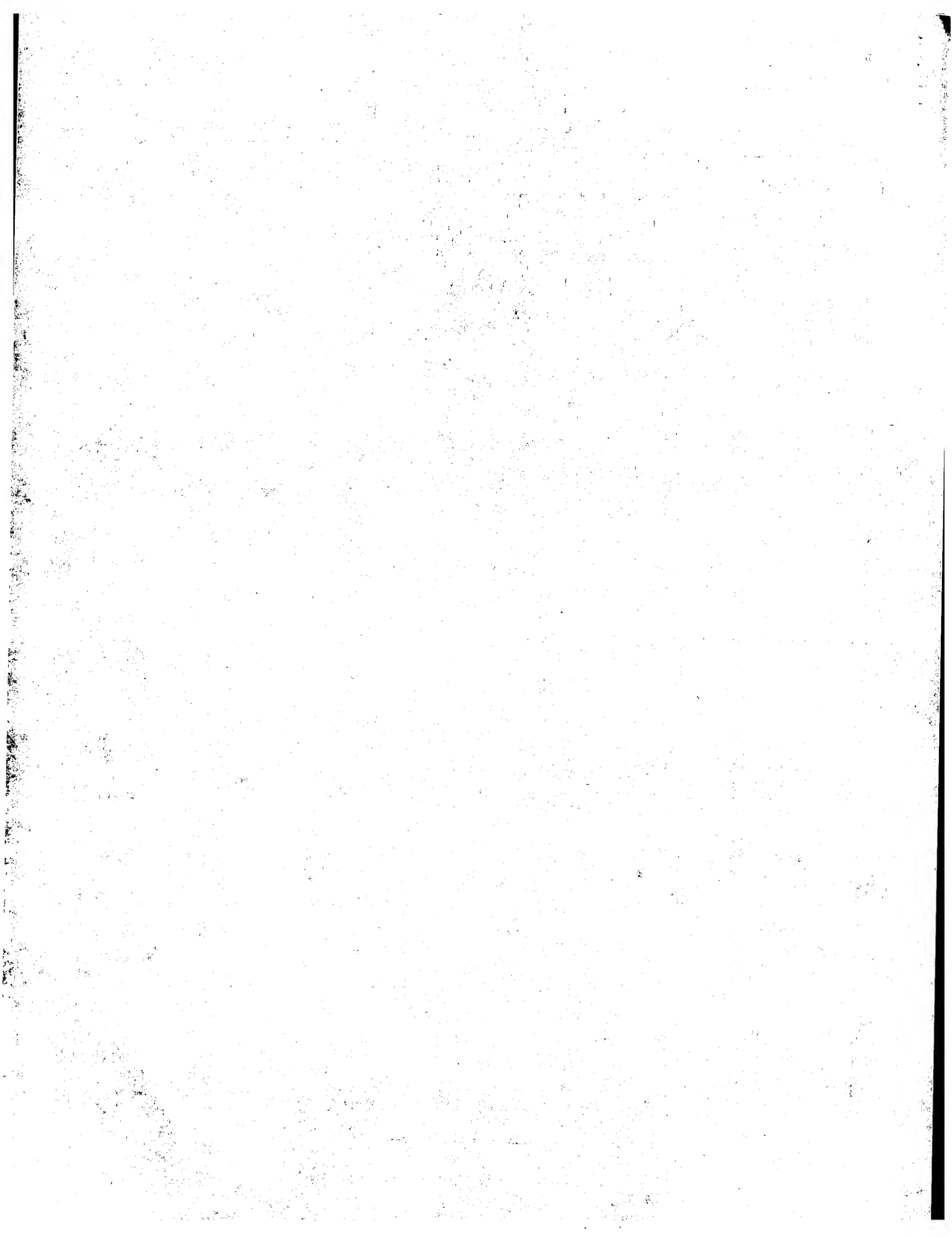
PCT/US98/04882

B. FIELDS SEARCHED

Minimum documentation searched

Classification System: U.S.

702/63, 60, 64, 61, 57, 65, 75, 22, 30, 32; 320/132, 134, 136, DIG 21, 149, DIG 18; 706/900, 907, 915,-1-9;
364/528.01, 528.21, 528.26, 528.28, 528.3, 528.32, 707; 395/750.01, 750.03, 750.08; 307/64-66



CORRECTED
VERSION*

PCT

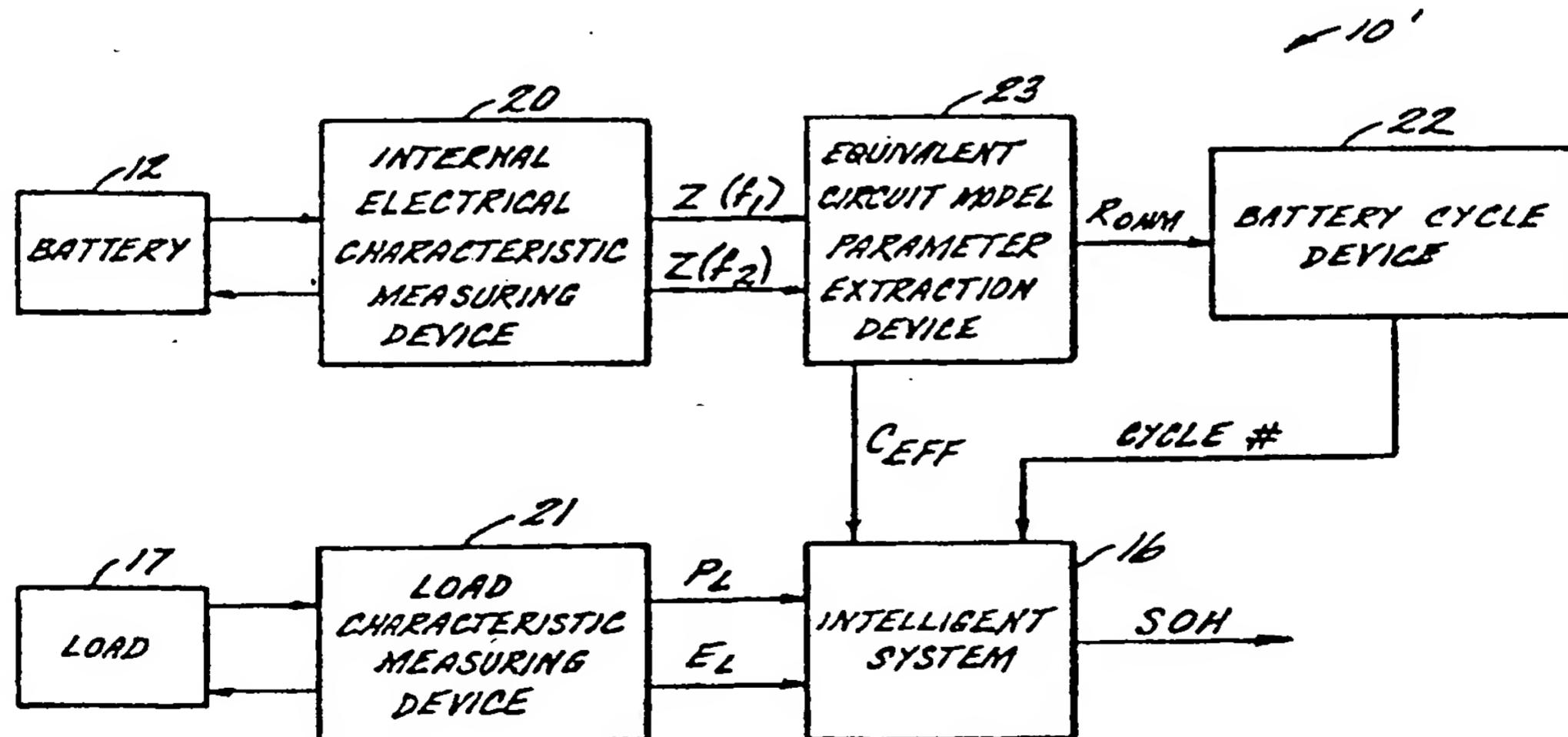
WORLD INTELLECTUAL PROPERTY ORGANIZATION
International Bureau



INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification ⁶ :	A1	(11) International Publication Number:	WO 98/40951
H02J 15/00		(43) International Publication Date:	17 September 1998 (17.09.98)
(21) International Application Number: PCT/US98/04882		(81) Designated States: CA, CN, JP, European patent (AT, BE, CH, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE).	
(22) International Filing Date: 12 March 1998 (12.03.98)		Published <i>With international search report. Before the expiration of the time limit for amending the claims and to be republished in the event of the receipt of amendments.</i>	
(30) Priority Data: 60/040,476 12 March 1997 (12.03.97) 60/051,165 27 June 1997 (27.06.97)		US	US
(71) Applicant: US NANOCORP. [US/US]; Suite 106, 20 Washington Avenue, North Haven, CT 06473-2342 (US).			
(72) Inventor: SINGH, Pritpal; 800 Lancaster Avenue, Villanova, PA 19085 (US).			
(74) Agent: COLBURN, Philmore, H., II; Fishman, Dionne, Cantor & Colburn, 88 Day Hill Road, Windsor, CT 06095 (US).			

(54) Title: METHOD FOR DETERMINING STATE-OF-HEALTH USING AN INTELLIGENT SYSTEM



(57) Abstract

A method for determining state-of-health (SOH) of an electrochemical device (12) using fuzzy logic (i.e., an intelligent system) is presented. State-of-health of an electrochemical device (12) is determined by an internal characteristic parameter (or external operating and environmental conditions) of the electrochemical device (12) and a characteristic parameter of a load (17) with an intelligent system (16). The electrochemical device (12) comprises such devices as primary batteries, rechargeable batteries, fuel cells or hybrid batteries containing a fuel cell electrode or electrochemical supercapacitors. The intelligent system (16) is trained in the relationship between the characteristic parameters of the electrochemical device (12), the characteristic parameters of the load (17) and the SOH of the electrochemical device (12).

FOR THE PURPOSES OF INFORMATION ONLY

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AL	Albania	ES	Spain	LS	Lesotho	SI	Slovenia
AM	Armenia	FI	Finland	LT	Lithuania	SK	Slovakia
AT	Austria	FR	France	LU	Luxembourg	SN	Senegal
AU	Australia	GA	Gabon	LV	Latvia	SZ	Swaziland
AZ	Azerbaijan	GB	United Kingdom	MC	Monaco	TD	Chad
BA	Bosnia and Herzegovina	GE	Georgia	MD	Republic of Moldova	TG	Togo
BB	Barbados	GH	Ghana	MG	Madagascar	TJ	Tajikistan
BE	Belgium	GN	Guinea	MK	The former Yugoslav Republic of Macedonia	TM	Turkmenistan
BF	Burkina Faso	GR	Greece	ML	Mali	TR	Turkey
BG	Bulgaria	HU	Hungary	MN	Mongolia	TT	Trinidad and Tobago
BJ	Benin	IE	Ireland	MR	Mauritania	UA	Ukraine
BR	Brazil	IL	Israel	MW	Malawi	UG	Uganda
BY	Belarus	IS	Iceland	MX	Mexico	US	United States of America
CA	Canada	IT	Italy	NE	Niger	UZ	Uzbekistan
CF	Central African Republic	JP	Japan	NL	Netherlands	VN	Viet Nam
CG	Congo	KE	Kenya	NO	Norway	YU	Yugoslavia
CH	Switzerland	KG	Kyrgyzstan	NZ	New Zealand	ZW	Zimbabwe
CI	Côte d'Ivoire	KP	Democratic People's Republic of Korea	PL	Poland		
CM	Cameroon	KR	Republic of Korea	PT	Portugal		
CN	China	KZ	Kazakhstan	RO	Romania		
CU	Cuba	LC	Saint Lucia	RU	Russian Federation		
CZ	Czech Republic	LI	Liechtenstein	SD	Sudan		
DE	Germany	LK	Sri Lanka	SE	Sweden		
DK	Denmark	LR	Liberia	SG	Singapore		

METHOD FOR DETERMINING
STATE-OF-HEALTH USING AN INTELLIGENT SYSTEM

Background of the Invention:

5 The present invention relates to determining the state-of-health (SOH) of an electrochemical device. More particularly, the present invention relates to determining the SOH of an electrochemical device using an intelligent system, e.g. a fuzzy logic system.

10 The SOH of a battery has been interpreted in different ways by scientists/engineers in the field. In the case of valve regulated lead acid (VRLA) batteries used by utility companies, for providing emergency backup power, SOH is interpreted to mean that a battery is close to the end of its cycle life and needs replacement. Several papers including Feder and Hlavac 1994 INTELEC Conf. Proc. pp. 282-291 (1994) and Hawkins and Hand 1996 INTELEC Conf. Proc. pp. 640-645 15 (1996) demonstrate that the increase in impedance of aging VRLA batteries can be used to indicate the SOH of the battery.

20 Another interpretation of battery SOH is the capability of a battery to meet its load demand. This is also referred to as "battery condition" by others in the field. To obtain the SOH of a battery in the terms defined, both the available charge capacity of the battery and the maximum power available from the battery are required. Several approaches have been used to determine the condition of a battery. In U.S. Patent 5,365,453 is described a method in which a ratio of a change in battery voltage to a 25 change in load is used to predict impending battery failure in battery powered electronic devices. Similar methods in which the battery response to and recovery from the application of a load is used to determine the SOH of batteries are reported in U.S. Patent Nos. 4,080,560 and 5,159,272. While these load profiling approaches work reasonably well for batteries integrated into a system, they are not necessarily accurate or reliable ways of determining the SOH of batteries outside a system.

Summary of the Invention:

The above-discussed and other drawbacks and deficiencies of the prior art are overcome or alleviated by the method for determining state of health (SOH) of an electrochemical device using an intelligent system, e.g., a fuzzy logic system, of the 5 present invention. In accordance with the present invention, the state of health of an electrochemical device is determined by an internal characteristic parameters (or external operating and environmental conditions) of the electrochemical device and characteristic parameters of a load and the SOH of the electrochemical device with an intelligent system. The electrochemical device comprises such devices as primary 10 ("throwaway") batteries, rechargeable batteries, fuel cells, a hybrid battery containing a fuel cell electrode and electrochemical supercapacitors. The intelligent system is trained in the relationship between characteristic parameters of the electrochemical device, characteristic parameters of the load and the SOH of the electrochemical device.

The intelligent system comprises any system that adaptively estimates or learns 15 continuous functions from data without specifying how outputs depend on inputs. By way of example, the intelligent system includes an artificial neural system, a fuzzy system and other such model-free function estimators that learn. Learning, so-called, "tunes" an intelligent system. This learning process (also referred to as a training process) can be implemented in many ways. The intelligent system can be 20 implemented using: an algorithm such as radiant descent and clustering used to tune neural networks and adaptive fuzzy systems; search optimization techniques such as those used by genetic algorithms; or an expert's guesses or trials and errors such as those used in fuzzy expert systems and fuzzy systems.

The above-discussed and other features and advantages of the present invention 25 will be appreciated and understood by those skilled in the art from the following detailed description and drawings.

Brief Description of the Drawings:

Referring now to the drawings wherein like elements are numbered alike in the 30 several FIGURES:

FIGURE 1 is a block diagram of a method for determining state of health of an electrochemical device in accordance with the present invention;

FIGURE 2 is a block diagram of an additive fuzzy system for use in the intelligent system of the present invention;

5 FIGURE 3 is a block diagram of a method for determining state of health of an electrochemical device in accordance with an embodiment of the present invention;

FIGURE 4A is a schematic diagram of a circuit for measuring battery impedance;

10 FIGURE 4B is a block diagram of an equivalent circuit model characteristic parameter extraction device of FIGURE 3;

FIGURE 4C is a plot of the log of the impedance versus battery cycle number;

FIGURE 5 is a plot of impedance function as a function of SOC versus Cycle #;

FIGURE 6 is a block diagram of an intelligent system for use in determining state of health of the present invention;

15 FIGURE 7A is a block diagram of a first intelligent system of the present invention;

FIGURE 7B is a block diagram of a second intelligent system of the present invention;

20 FIGURE 8A is a block diagram of a first additive fuzzy system for use in the first intelligent system of FIGURE 7A;

FIGURE 8B is a block diagram of a second additive fuzzy system for use in the second intelligent system of FIGURE 7B;

FIGURE 8C is a block diagram of the second intelligent system in accordance with alternate embodiment of the present invention;

25 FIGURE 9A is a circuit block diagram for determining battery impedance in accordance with the present invention;

FIGURE 9B is a circuit block diagram for determining state of charge in accordance with the present invention; and

FIGURE 10 is a block diagram of a method for determining state of charge of an electrochemical device in accordance with another embodiment of the present invention;

Description of the Invention:

Referring to FIGURE 1, a system for determining State-of-Health (SOH) in accordance with the present invention is generally shown at 10. System 10 comprises an electrochemical device 12 for which SOH is to be determined, a parameter extraction device 14 and an intelligent system 16. Electrochemical device 12 comprises such devices as primary ("throwaway") batteries, rechargeable batteries, fuel cells, a hybrid battery containing a fuel cell electrode and electrochemical supercapacitors. Intelligent system 16 is trained in the relationship between characteristic parameters of electrochemical device 12 (parameter extraction device 14a) and characteristic parameters of a load 17 (parameter extraction device 14b), and the SOH of electrochemical device 12. These characteristic parameters are obtained by device 14 and provided to intelligent system 16 as inputs, with the SOH being the output of intelligent system 16.

The state of health of an electrochemical device 12 is defined as the device's ability to perform a specified task. Although an electrochemical device 12 may be at a high State-of-Charge (SOC), its health may be poor due to loss of electrolyte or otherwise. Although a fully discharged battery may be at a low SOC, due to depletion of the charged species of the electrodes or otherwise, it may well be in a full SOH, which would be realized subsequent to recharging the electrochemical device. Phenomenons such as loss of electrolyte and depletion of charged species affect the electrochemical device's power delivery capability and its capacity. Therefore, the electrochemical device's State-of-Health is a function of its ability to deliver the power required by a load and its capacity to meet the load requirements.

Intelligent system 16 comprises any system that adaptively estimates or learns continuous functions from data without specifying how outputs depend on inputs, such as described in Neural Networks and Fuzzy System, by Bart Kosko, Prentice-Hall, 1992, which is incorporated herein by reference. By way of example, intelligent

system 16 includes, but is not limited to, an artificial neural system, a fuzzy system and other such model-free function estimators that learn. Learning, so-called, "tunes" an intelligent system. The learning process (also referred to as a training process) can be implemented in many ways. Intelligent system 16 can be implemented using an algorithm such as gradient decent and clustering used to tune neural networks and adaptive fuzzy systems, such as described in Neural Networks and Fuzzy System, by Bart Kosko, Prentice-Hall, 1992. Intelligent system 16 can also be implemented using search optimization techniques such as those used by genetic algorithms, see Genetic Algorithm and Fuzzy Logic System, Soft Computing Perspective (Advances in Fuzzy Systems - Applications and Theory, Vol. 7), by Elie Sanchez et al, World Scientific Pub. Co., 1997, which is incorporated herein by reference. Alternatively, intelligent system 16 can be implemented by an expert's guesses or trials and errors such as those used in fuzzy expert systems and fuzzy systems, see Timothy J. Ross, McGraw Hill, 1995, which is incorporated herein by reference. It will be appreciated that combinations of the above may also be employed, such as a fuzzy system/adaptive fuzzy system that uses gradient decent and/or clustering to develop an initial fuzzy system, then uses an expert's knowledge to fine tune the system, see Neural Networks and Fuzzy System, by Bart Kosko, Prentice-Hall, 1992, which is incorporated herein by reference.

Referring to FIGURE 2, intelligent system 16 in a preferred embodiment comprises an additive fuzzy system 18 with centroid defuzzification 20. Additive fuzzy system 18, F, stores m fuzzy rules of the form, "If X is A_j , then Y is B_j ", and computes the output $F(x)$ as the centroid of the summed and partially fired then-part fuzzy sets B'_j , see Fuzzy Engineering, by Bart Kosko, Prentice-Hall, 1997. Mathematically additive fuzzy system 18 is expressed by Equation 1 as:

$$F(x) = \frac{\sum_{j=1}^m w_j a_j(x) V_j c_j}{\sum_{j=1}^m w_j a_j(x) V_j}$$

EQUATION 1

$$a_j(x) = \prod_{i=1}^n a_j^i(x_i)$$

EQUATION 2

$$B = \sum_{j=1}^m w_j B_j = \sum_{j=1}^m w_j a_j(x) B_j$$

EQUATION 3

where:

5 w_j is a weight of rule j,
 a_j^i represents if-part set function (membership function of input i of rule j on input i),
 a_j represents joint if-part set function (result of " a_j^1 'and' a_j^2 'and' a_j^i ") that states the degree to which the input x belongs to the if-part fuzzy set a_j ,
 B_j represents then-part set function (membership function j on the output),

10 V_j is the finite positive volume (or area) of the then-part set B_j ,
 c_j is the centroid of the then-part set B_j ,
 B_j - scaled then-part set (scaled output membership function j, result of $a_j(x)B_j$), and
 B - output set prior to defuzzification.

In linguistic terms, additive fuzzy system 18 can be described in terms of a set
15 of if-then rules:

RULE 1: If X_1 is a_1^1 and X_2 is a_1^2 and X_n is a_1^n , then $F(X)$ is B_1 ,

RULE 2: If X_1 is a_2^1 and X_2 is a_2^2 and X_n is a_2^n , then $F(X)$ is B_2 ,

20

RULE m: If X_1 is a_m^1 and X_2 is a_m^2 and X_n is a_m^n , then $F(X)$ is B_m ,

where m is the number of rules and n is the number of inputs.

The linguistic description and the mathematical description of additive fuzzy system 18 are equivalent. They are merely different views of the same fuzzy system. Both approaches map a given input X to a given output $F(X)$ by a process known as fuzzy inference. The following example demonstrates the fuzzy inference process.

5 First, fuzzify the inputs by taking the inputs and determine the degree to which they belong to each of the appropriate input fuzzy sets via membership functions.

Mathematically expressed as: " $a_1^1(X_1), a_1^2(X_2), \dots, a_1^m(X_m)$ ". Linguistically expressed as: "If $X_1 = a_1^1$, If $X_2 = a_1^2$, ..., If $X_m = a_1^m$ ". Second, apply a fuzzy operator by combining if-part sets of a given rule to obtain one number that represents the result of 10 the antecedent for that rule. Mathematically expressed as EQUATION 2 hereinabove. Linguistically expressed as: " a_1^1 'and' a_1^2 'and' a_1^m " where 'and' is the T-norm product. Third, apply an implication method by shaping the consequent (or output fuzzy set) based on the result of the antecedent for that rule. Mathematically expressed as: " $B_1 = a_1(X)B_1$ ". Linguistically expressed as: "If $a_1(X)$, then B_1 ". Fourth, 15 aggregate all outputs by combining the consequent of each rule to form one output fuzzy set. Mathematically expressed as EQUATION 3 hereinabove. Fifth, defuzzify by mapping the output fuzzy set to a crisp number. Mathematically expressed as " $F(x) = \text{centroid}(B) = \text{EQUATION 1}$ ". In general see Fuzzy Logic Toolbox, for use with MATLAB, The Mathworks, Inc. by Jang and Gulley.

20 By way of example, a supervised gradient descent, can learn or tune additive fuzzy system 18 given by EQUATION 1 by changing the rule weights w_j , the then-part volumes V_j , the then-part centroids c_j , or the if-part set functions a_j .

Referring to FIGURE 3, system 10' determines the SOH of battery 12 by relating the relationship between internal electrical characteristics of an electrochemical 25 device 12 and the characteristics of a load 17, and the SOH of an electrochemical device 12. In the preferred embodiment the internal electrical characteristic measuring device comprises an impedance measuring device. System 10' comprises battery 12 for which the SOH is to be determined, load 17, an impedance measuring device 20, a load characteristic measuring device 21, and preprocessor and intelligent system 16. In the

preferred embodiment the preprocessor comprises a equivalent circuit parameter extraction device 23 and a battery cycle device 22.

Referring to FIGURE 4A, a circuit 24 for measuring battery impedance is shown. Circuit 24 comprises battery 12 whose impedance is to be measured, an a.c. voltage generator 26 of variable frequency, and a d.c. variable voltage generator 28. 5 Battery 12 and generators 26 and 28 are connected in series in any order but in such a way that battery 12 and the d.c. generator 28 have in common poles of the same sign, in this example the positive poles. The no-load voltage of battery 12 is designated as E_0 , the effective voltage of a.c. generator 26 is designated as V and the voltage of d.c. 10 generator 28 is designated as E. Voltage E is chosen so as to be equal to E_0 to prevent battery 12 issuing a direct current. In this way, the current flowing in the loop made up of battery 12 and generators 26 and 28 has no direct component and its alternating component designated I is determined by the voltage V. Variables V and I are complex numbers and their ratio $V/I = Z = Z' + jZ''$ defines the internal complex impedance of 15 battery 12. This impedance has a real or resistive part Z' and an imaginary or reactive part Z'' . The magnitude of this impedance, $|Z|$, is given by $|Z| = (Z'^2 + Z''^2)^{1/2}$. The battery impedance is a function of the frequency f of the a.c. voltage. In some cases, the battery impedance at a particular frequency also changes with the battery's cycle number. A charged battery that is discharged and then recharged to its original state is 20 said to have gone through a complete battery cycle. A battery's cycle number is the cumulative number of cycles that the battery has gone through.

Referring now to FIGURE 4B, equivalent circuit model parameter extraction device 14 is shown for extracting electrical parameters from the impedance data (i.e., $Z(f_{4Hz})$, $Z(f_{1000Hz})$). It has been determined that in the case of nickel metal hydride batteries, the battery impedance measured at a frequency of 1000 Hz correlates well 25 with the battery cycle number above about 100 cycles, see Doctoral Dissertation of John Weckesser, entitled An Evaluation of the Electrochemical Properties of Metal Hydride Alloys For Rechargeable Battery Applications, Rutger University (1993), which is incorporated herein by reference.

Referring to FIGURE 4C, variation of the logarithm of the impedance (log Z) with battery cycle number for a nickel metal hydride battery is shown. This data allows determination of the battery cycle number (almost independently of battery state of charge) above about 100 cycles. Further, series capacitance of nickel metal hydride batteries varies with both cycle number and battery state of charge. Also, the values of the series capacitance of the nickel metal hydride batteries can be determined by measuring the internal impedance of the batteries at many frequencies and using a circuit model with different circuit elements to fit the complete impedance spectrum, again see Doctoral Dissertation of John Weckesser, Rutger University (1993).

In accordance with the present invention, an effective series capacitance value can be determined by a simple analysis of the battery impedance measured at two frequencies. The effective series capacitance is obtained by measuring the impedance of the battery at 0.4 Hz and measuring the impedance at 1000 Hz. The effective series capacitance is given by the expression $C_{\text{eff}} = 1/(Z(f_{0.4\text{Hz}}) - 1.12 Z(f_{1000\text{Hz}}))$. A plot of this function is shown in FIGURE 5, wherein a similar functional relationship to the battery cycle number and battery state of charge is found. This is used by system 10' to determine battery 12 state of charge (SOC) given battery 12 impedance measurements made at 0.4 Hz and 1000 Hz.

Referring to FIGURE 6, intelligent system 16 comprises a first intelligent system 16a which is trained in the relationship between the internal electrical characteristics and the SOC of the battery, whereby the intelligent system 16 learns the underlining function f that relates C_{eff} and Cycle #(f_{rc}(R_{ohm})) to SOC. First intelligent system 16a receives the equivalent circuit model characteristic parameters of the electrochemical device as inputs and provides the SOC of the electrochemical device as output. A method for determining the characteristic parameters of the electrochemical device, such as SOC and Cycle #, is described in a U.S. Patent Application entitled A Method For Determining State-Of-Charge Using An Intelligent System filed concurrently herewith (Attorney Docket No. 97-1203), which is incorporated herein by reference.

Intelligent system 16 further comprises a second intelligent system 16b which is trained in the relationship between the characteristic parameters of an electrochemical device, including SOC and cycle #, and the characteristic parameters of the load, including power and energy requirements, and the SOH. Second intelligent system 16b receives the SOC produced by first intelligent system 16a and the cycle # extracted from the equivalent circuit model parameters of the electrochemical device and the characteristic load requirements as inputs and outputs the SOH of the electrochemical device.

Referring to FIGURE 7A, first intelligent system 16a is trained in the relationship between the equivalent circuit model internal electrical characteristic parameters and the SOC of the battery. First intelligent system 16a learns the underlying function f_1 that relates C_{eff} and Cycle #($f_{rc}(R_{ohm})$) to SOC.

Referring to FIGURE 8A, first intelligent system 16a comprises an additive fuzzy system 18 which learns the relationship between input variables, C_{eff} and Cycle #, and output variables, battery SOC, by use of an expert knowledge and trials and errors. It will be appreciated that any learning method previously discussed can be used to tune the fuzzy system. Also that any intelligent system previously mentioned can be employed in place of the additive fuzzy system.

Referring to FIGURE 7B, the second intelligent system 16b is trained in the relationship between the SOC and Cycle # of the battery, E_l energy required by load, and P_l power required by load, and the SOH. Second intelligent system 16b learns the underlying function f_2 that relates SOC and Cycle #($f_{rc}(R_{ohm})$) of a battery, E_l and P_l of the load, to the battery's SOH.

Referring to FIGURE 8B, second intelligent system, 16b comprises a second additive fuzzy system 19 which learns the relationship between input variables, SOC, Cycle #, provided by first intelligent system 16a, E_l energy required by load and P_l power required by load provided by a user, and output variables, battery SOH, by use of an expert's knowledge and trials and errors. It will be appreciated that any learning method previously discussed can be used to tune the fuzzy system. Also that any

intelligent system previously mentioned can be employed in place of the additive fuzzy system.

Referring to FIGURE 8C, alternatively in second intelligent system 16a, the characteristic parameters of the load are learned online by a neural network 21. Neural network 21 takes as inputs, characteristics of the load discharging profile including, the voltage across the load V_1 and the discharge current I_1 through the load as a function of time, and outputs estimates of the power P_1 and energy E_1 requirements of the load. Neural network Z_1 , forecasts the power P_1 and E_1 requirements of the load based on the load V_1 and the discharge current I_1 at a plural of times.

It will be appreciated that the SOH of battery 12 is really a function of the complex impedance and load requirements, and that the parameters, SOC and Cycle # are useful but are not in and of themselves required in a fuzzy system model. The direct relationship between the complex impedance and SOC is as follows:

$$\text{SOH} = f_2(\text{SOC}, \text{Cycle } \#, E_1, P_1) \quad \text{EQUATION 4,}$$

$$15 \quad \text{SOC} = f(C_{\text{eff}}, \text{Cycle } \#) \quad \text{EQUATION 5,}$$

$$\text{Cycle } \# = f_{rc}(R_{\text{ohm}}) = f_{rc}(Z(f_{1000})) = f_{rc}(Z(f_{1000})) \quad \text{EQUATION 6,}$$

therefore,

$$\text{SOH} = f(Z(f_{.4}), Z(f_{1000}), E_1, P_1) \quad \text{EQUATION 7.}$$

Intelligent system 16 may be trained directly in the relationship between the impedance, $Z_{.4\text{Hz}}$ and $Z_{1000\text{Hz}}$, the power/energy requirements of the load and SOH. It will be appreciated that the present embodiment is not dependent upon the impedance at the particular frequencies, .4 Hz and 1000 Hz, such being merely exemplary.

Referring to FIGURE 9A, a circuit 30 for measuring impedance of battery 12 at two frequencies is generally shown. Circuit 30 comprises battery 12 whose impedance is to be measured and an a.c. signal generator 32. A small amplitude, perturbing sinusoidal signal, $x(t) = X_0 \sin(\omega t)$, is applied to battery 12. The response of battery 12

to this perturbing signal is $S(t) = X_0 K(\omega) \sin(\omega[t + \phi(\omega)])$ and is correlated with two reference signals, one in phase with $x(t)$ and the other 90° out of phase with $x(t)$, i.e., $\sin(\omega t)$ and $\cos(\omega t)$, in order to calculate :

$$\Re = \frac{1}{T} \int_0^T S(t) \sin \omega t dt$$

EQUATION 8

$$\Im = \frac{1}{T} \int_0^T S(t) \cos \omega t dt$$

EQUATION 9

5

This allows the elimination of higher order harmonics than the fundamental and with an appropriate selection of a frequency window and multiple measurements, noise rejection can be very high. In the limit as

10

$$T \rightarrow \infty, \Re \rightarrow K(\omega) \cos \phi(\omega), \Im \rightarrow K(\omega) \sin \phi(\omega)$$

where $K(\omega)$ represents the amplitude of the impedance at frequency $\omega/2\pi$ and $\phi(\omega)$ represents the phase of the impedance at frequency $\omega/2\pi$. Circuit 30 allows the determination of the impedance at different frequencies and may be set up to measure the impedance at the two frequencies of interest, such an instrument which can be used 15 to perform the impedance measurements is commercially available as the Solartron 1260 Impedance/Gain-Phase Analyzer.

To determine battery SOC once the impedance is known, a circuit 34 (FIGURE 9B) may be used. The impedance values at the two frequencies from circuit 30 are fed into a microcontroller 34 (e.g., Motorola MC68HC11/12) either as analog or digital 20 signals, analog signals would be fed into the A/D converters on microcontroller 34 where they would be converted to digital signals. The impedance at 1000 Hz., Z_1 , is stored in a first one memory location and the impedance at 0.4 Hz., Z_2 , is stored at a second memory location. The impedance Z_2 is then multiplied by a factor of, e.g., 1.12 in microcontroller 34 and the result stored in a third memory location. The difference 25 between Z_1 and $1.12 \times Z_2$ is then calculated in microcontroller 34 and the difference stored in a fourth memory location. The inverse of this quantity located in the fourth

memory location is then calculated and the result stored in a fifth memory location. The cycle number is stored at a sixth location and the and the impedance stored in the fifth memory location serve as the input variables for the fuzzy system to determine battery 12 state of charge, as described above. The output of this first fuzzy system and 5 the SOC, is stored in a seventh memory location. The load requirement, provided by either the user or a neural network trained to predict the load requirements based upon the past discharging characteristics are stored in an eighth memory location E_1 and P_1 is stored in a ninth memory location. The cycle number stored in the sixth memory location , the SOC stored in the seventh memory location , E_1 stored in the eighth 10 memory location, and P_1 ninth memory location serve as input variables for the second fuzzy system to determine the battery's SOH. The battery state of health is then output to a display driver 36 and interfaced to a liquid crystal display 38.

Referring to FIGURE 10, an alternative system for determining the SOH of battery 12 by relating the external operating conditions such as, e.g., discharge rate, 15 cycle number, calendar life and recovery time to a specified open circuit voltage (OCV) after a deep discharge and environmental conditions such as, e.g., temperature, battery orientation, magnetic field and g-force, and which infer the discharge efficiency to its SOH is generally shown at 40. System 40 comprises battery system 12 for which SOH is to be determined, an operating characteristic processing unit 42, an environmental 20 characteristic processing unit 44, intelligent system 16 and a processing unit 46 for determining SOH.

In this embodiment, intelligent system 16 comprises intelligent system 16a which is trained in the relationship between the external operating conditions, including discharge rate, battery voltage, both as a function of time and environmental conditions, 25 such as temperature, of an electrochemical device and the SOC of the electrochemical device. First intelligent system 16a receives the external operating conditions and environmental conditions as inputs and provides SOC (and the Cycle # for a rechargeable battery) of the electrochemical device as output. Intelligent system 16 further comprises a second intelligent system 16b which is trained in the relationship 30 between the external operating conditions and environmental conditions, and the

characteristic load requirement. Second intelligent system 16b receives the external operating conditions, including discharge rate, battery voltage, both as a function of time and environmental conditions, such as temperature, of the electrochemical device as inputs, and outputs the characteristic load requirements, including the power and 5 energy requirements. Intelligent system 16 also comprises a third intelligent system 16c which takes the output of first intelligent system 16a, SOC, and the output of second intelligent system 16b, characteristic load requirements, as inputs and determines the battery SOH as an output.

It is within the scope of the present invention that power management 10 specifications may be determined for a Smart Battery System (SBS). Intelligent system 16 is trained in the relationship between the battery characteristic parameter including the operating conditions of a battery and the equivalent circuit parameters of a battery, and characteristic load parameters including the load power and the load energy requirement, and the detailed SOH of the battery. The detailed SOH of a battery 15 comprises the power management specifications according to the SBS standards. This includes the battery SOC, remaining capacity, time remaining at present discharge rate, predicted time remaining at a host specified rate, etc. (see, "Smart Battery System Overview" by Francis Truntzer, Intel Corporation, Proceedings of Developer's Conference and Interoperability Workshop, Hawaii (February 1998), which is 20 incorporated herein by reference).

It is also within the scope of the present invention that SOH as related to a battery's end of cycle life can easily be determined when characteristic parameters of a load are inputted into the intelligent system and the SOH of the battery is outputted.

While preferred embodiments have been shown and described, various 25 modifications and substitutions may be made thereto without departing from the spirit and scope of the invention. Accordingly, it is to be understood that the present invention has been described by way of illustrations and not limitation.

What is claimed is:

CLAIM 1. A method of determining a state of health of an electrochemical device connected to a load, comprising:

detecting at least one characteristic of said electrochemical device;

detecting at least one characteristic of said load; and

determining said state of health of said electrochemical device from at least one intelligent system trained in a relationship between said at least one characteristic of said electrochemical device and said at least one characteristic of said load and said state of health.

CLAIM 2. The method of determining said state of health of claim 1 wherein said at least one characteristic of said electrochemical device comprises at least one internal electrical characteristic of said electrochemical device.

CLAIM 3. The method of determining said state of health of claim 1 wherein said at least one characteristic of said electrochemical device comprises at least one external characteristic of said electrochemical device.

CLAIM 4. The method of determining said state of health of claim 2 wherein said at least one internal electrical characteristic of said electrochemical device comprises at least one internal impedance of said electrochemical device.

CLAIM 5. The method of determining said state of health of claim 4 wherein said at least one internal impedance comprises a first internal impedance at a first frequency.

CLAIM 6. The method of determining said state of health of claim 5 wherein said at least one internal impedance further comprises a second internal impedance at a second frequency.

CLAIM 7. The method of determining said state of health of claim 1 wherein said intelligent system comprises a fuzzy system.

CLAIM 8. The method of determining said state of health of claim 7 wherein said fuzzy system comprises an additive fuzzy system.

CLAIM 9. The method of determining said state of health of claim 8 wherein said additive fuzzy system mathematically comprises:

$$F(x) = \frac{\sum_{j=1}^n w_j a_j(x) V_j c_j}{\sum_{j=1}^n w_j a_j(x) V_j}$$

$$a_j(x) = \prod_{i=1}^m a_j^i(x_i)$$

5 $B = \sum_{j=1}^m w_j B_j = \sum_{j=1}^m w_j a_j(x) B_j$

where,

w_j is a weight of rule j,

a_j^i represents if-part set function, membership function of input i of rule j on input i ,

a_j represents joint if-part set function, result of " a_j^1 'and' a_j^2 'and' a_j^i ", that states a degree to which an input x belongs to the if-part fuzzy set a_j ,

B_j represents then-part set function, membership function j on an output,

V_j is a finite positive volume or area of the then-part set B_j ,

c_j is a centroid of the then-part set B_j ,

B_j is a scaled then-part set, scaled output membership function j, result of $a_j(x)B_j$, and

B is an output set prior to defuzzification.

CLAIM 10. The method of determining state of health of claim 1 wherein said electrochemical device comprises a primary battery, a rechargeable battery, a fuel cell, a hybrid battery containing a fuel cell electrode or an electrochemical supercapacitor.

CLAIM 11. The method of determining said state of health of claim 3 wherein said at least one external characteristic comprises an external operating condition and an environmental condition.

CLAIM 12. The method of determining said state of health of claim 1 wherein said at least one intelligent system comprises:

a first intelligent system trained in a relationship between an internal electrical characteristic of said electrochemical device and a state of charge of said electrochemical device; and

5 a second intelligent system trained in a relationship between said state of charge and said characteristic of said load and said state of health.

CLAIM 13. The method for determining said state of health of claim 1 wherein:

said characteristic of said electrochemical device comprised a state of charge or a cycle number of said electrochemical device; and

5 said characteristic of said load comprises power or energy requirements of said load.

CLAIM 14. The method for determining said state of health of claim 1 wherein said relationship comprises:

SOH = $f(Z(f_1), Z(f_2), E, P)$ where $Z(f_1)$ is an internal impedance of said electrochemical device at a first frequency $Z(f_2)$ is an internal impedance of said 5 electrochemical device at a second frequency, E is the energy requirements of said load, and P is the power requirements of said load.

CLAIM 15. The method for determining said state of health of claim 12 wherein:

said first intelligent system comprises a fuzzy system; and
said second intelligent system comprises a neural network.

CLAIM 16. The method for determining said state of health of claim 1 wherein said at least one intelligent system comprises:

a first intelligent system trained in a relationship between an external operating condition and an environmental condition and a state of charge of said electrochemical device;

a second intelligent system trained in a relationship between said external operating condition and said environmental condition and said characteristic of said load; and

a third intelligent system trained in a relationship between said state of charge from said first intelligent system and said characteristic of said load from said second intelligent system and said state of health.

CLAIM 17. A system for determining a state of health of an electrochemical device, comprising:

a first sensor for detecting at least one characteristic of said electrochemical device and providing a first sensed signal indicative thereof; and

a second sensor for detecting at least one characteristic of said load and providing a second sensed signal indicative thereof; and

a signal processor responsive to said first and second sensed signals for determining said state of health of said electrochemical device and providing a state of health signal, said signal processor including an intelligent system trained in a relationship between said at least one characteristic of said electrochemical device and said at least one characteristic of said load and said state of health.

CLAIM 18. The system of determining said state of health of claim 17 wherein said at least one characteristic of said electrochemical device comprises at least one internal electrical characteristic of said electrochemical device.

CLAIM 19. The system of determining said state of health of claim 17 wherein said at least one characteristic of said electrochemical device comprises at least one external characteristic of said electrochemical device.

CLAIM 20. The system of determining said state of health of claim 18 wherein said at least one internal electrical characteristic of said electrochemical device comprises at least one internal impedance of said electrochemical device.

CLAIM 21. The system of determining said state of health of claim 20 wherein said at least one internal impedance comprises a first internal impedance at a first frequency.

CLAIM 22. The system of determining said state of health of claim 21 wherein said at least one internal impedance further comprises a second internal impedance at a second frequency.

CLAIM 23. The system of determining said state of health of claim 17 wherein said intelligent system comprises a fuzzy system.

CLAIM 24. The system of determining said state of health of claim 23 wherein said fuzzy system comprises an additive fuzzy system.

CLAIM 25. The system of determining said state of health of claim 24 wherein said additive fuzzy system mathematically comprises:

$$\hat{F}(x) = \frac{\sum_{j=1}^n w_j a_j(x) V_j c_j}{\sum_{j=1}^n w_j a_j(x) V_j}$$

$$a_j(x) = \prod_{i=1}^n a_j^i(x_i)$$

$$B = \sum_{j=1}^m w_j B_j = \sum_{j=1}^m w_j a_j(x) B_j$$

5 where,

w_j is a weight of rule j,

a_j^i represents if-part set function, membership function of input i of rule j on input i ,

10 a_j represents joint if-part set function, result of " a_j^1 'and' a_j^2 'and' a_j^n ", that states a degree to which an input x belongs to the if-part fuzzy set a_j ,

B_j represents then-part set function, membership function j on an output,

V_j is a finite positive volume or area of the then-part set B_j ,

c_j is a centroid of the then-part set B_j ,

B_j is a scaled then-part set, scaled output membership function j, result of $a_j(x)B_j$, and

15 B is an output set prior to defuzzification.

CLAIM 26. The system of determining state of health of claim 17 wherein said electrochemical device comprises a primary battery, a rechargeable battery, a fuel cell, a hybrid battery containing a fuel cell electrode or an electrochemical supercapacitor.

CLAIM 27. The system of determining state of health of claim 19 wherein said at least one external characteristic comprises an external operating condition and an environmental condition.

CLAIM 28. The system of determining said state of health of claim 17 wherein said at least one intelligent system comprises:

a first intelligent system trained in a relationship between an internal electrical characteristic of said electrochemical device and a state of charge of said 5 electrochemical device; and

a second intelligent system trained in a relationship between said state of charge and said characteristic of said load and said state of health.

CLAIM 29. The system for determining said state of health of claim 17 wherein:

said characteristic of said electrochemical device comprised a state of charge or a cycle number of said electrochemical device; and

said characteristic of said load comprises power or energy requirements of said 5 load.

CLAIM 30. The system of for determining said state of health of claim 17 wherein said relationship comprises:

$SOH = f(Z(f_1), Z(f_2), E, P)$ where $Z(f_1)$ is an internal impedance of said electrochemical device at a first frequency $Z(f_2)$ is an internal impedance of said 5 electrochemical device at a second frequency, E is the energy requirements of said load, and P is the power requirements of said load.

CLAIM 31. The method for determining said state of health of claim 28 wherein:

said first intelligent system comprises a fuzzy system; and

said second intelligent system comprises a neural network.

CLAIM 32. The method for determining said state of health of claim 17 wherein said at least one intelligent system comprises:

a first intelligent system trained in a relationship between an external operating condition and an environmental condition and a state of charge of said electrochemical device;

a second intelligent system trained in a relationship between said external operating condition and said environmental condition and said characteristic of said load; and

a third intelligent system trained in a relationship between said state of charge from said first intelligent system and said characteristic of said load from said second intelligent system and said state of health.

CLAIM 33. The system for determining state of health of claim 17 further comprising:

a display responsive to said state of health signal for displaying said state of health.

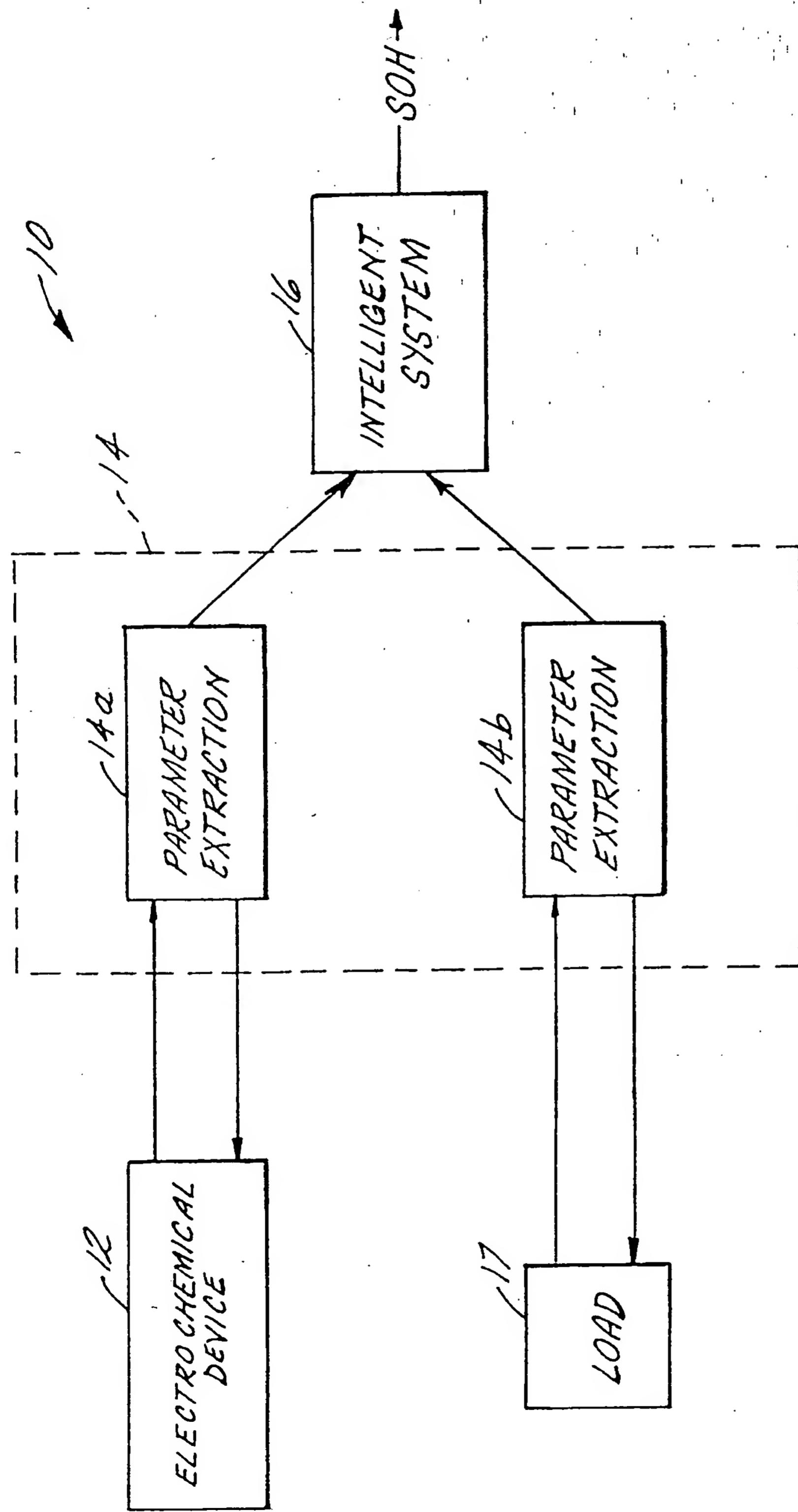


FIG. 1

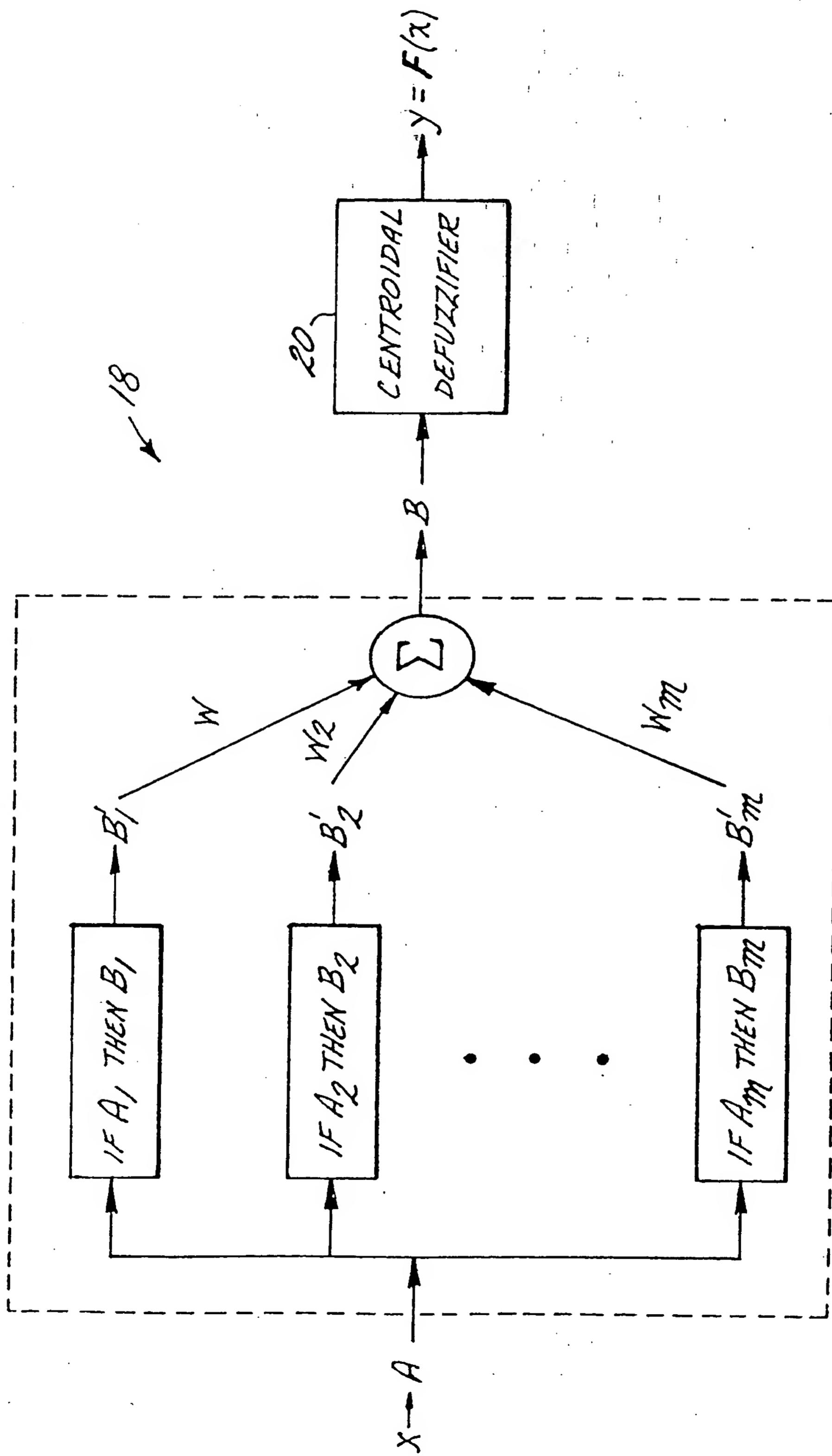


FIG. 2

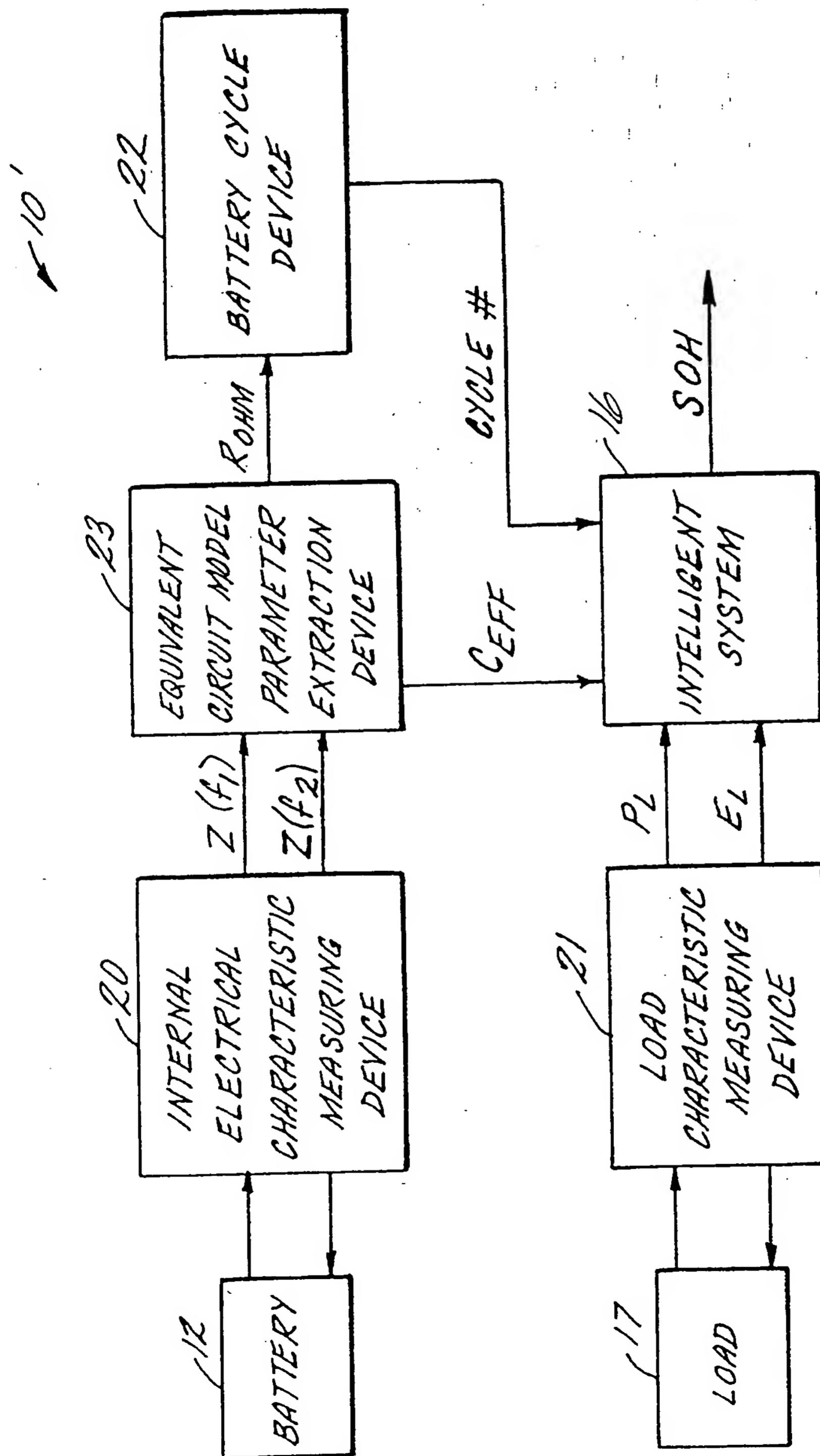


FIG. 3

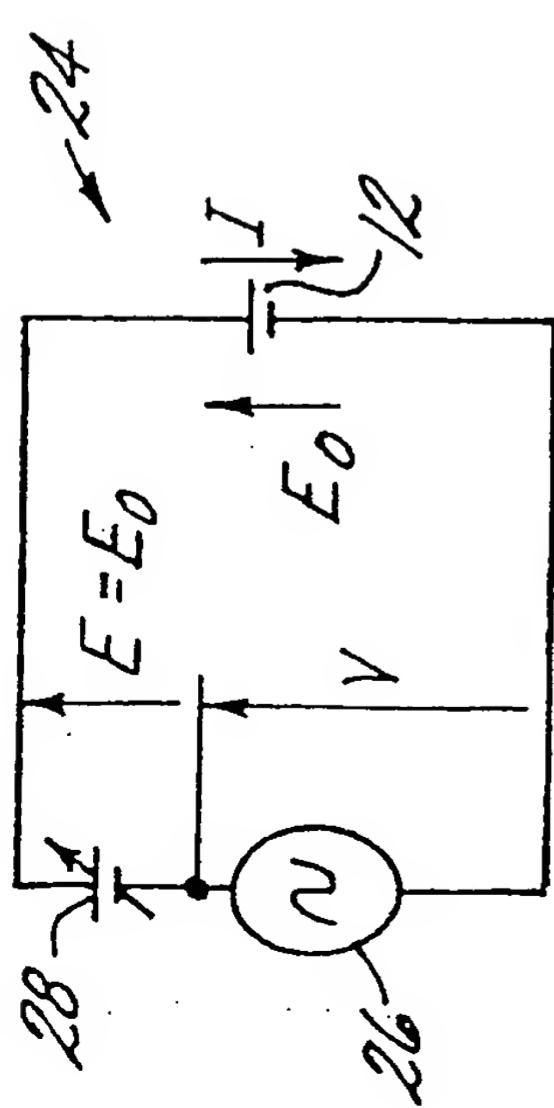


FIG. 4A

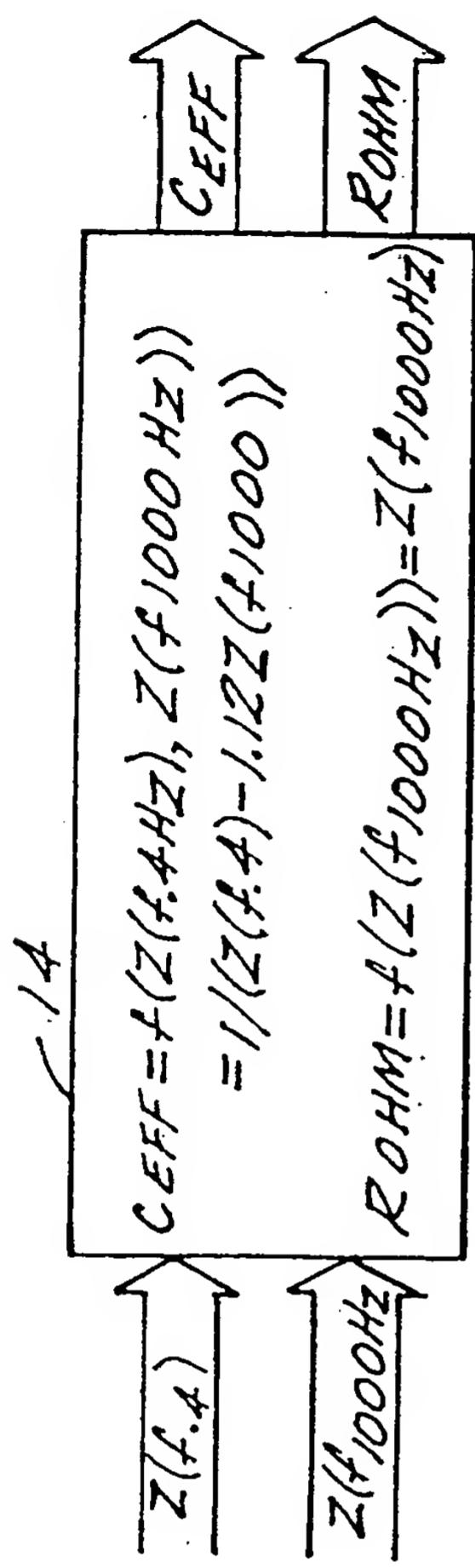


FIG. 4B

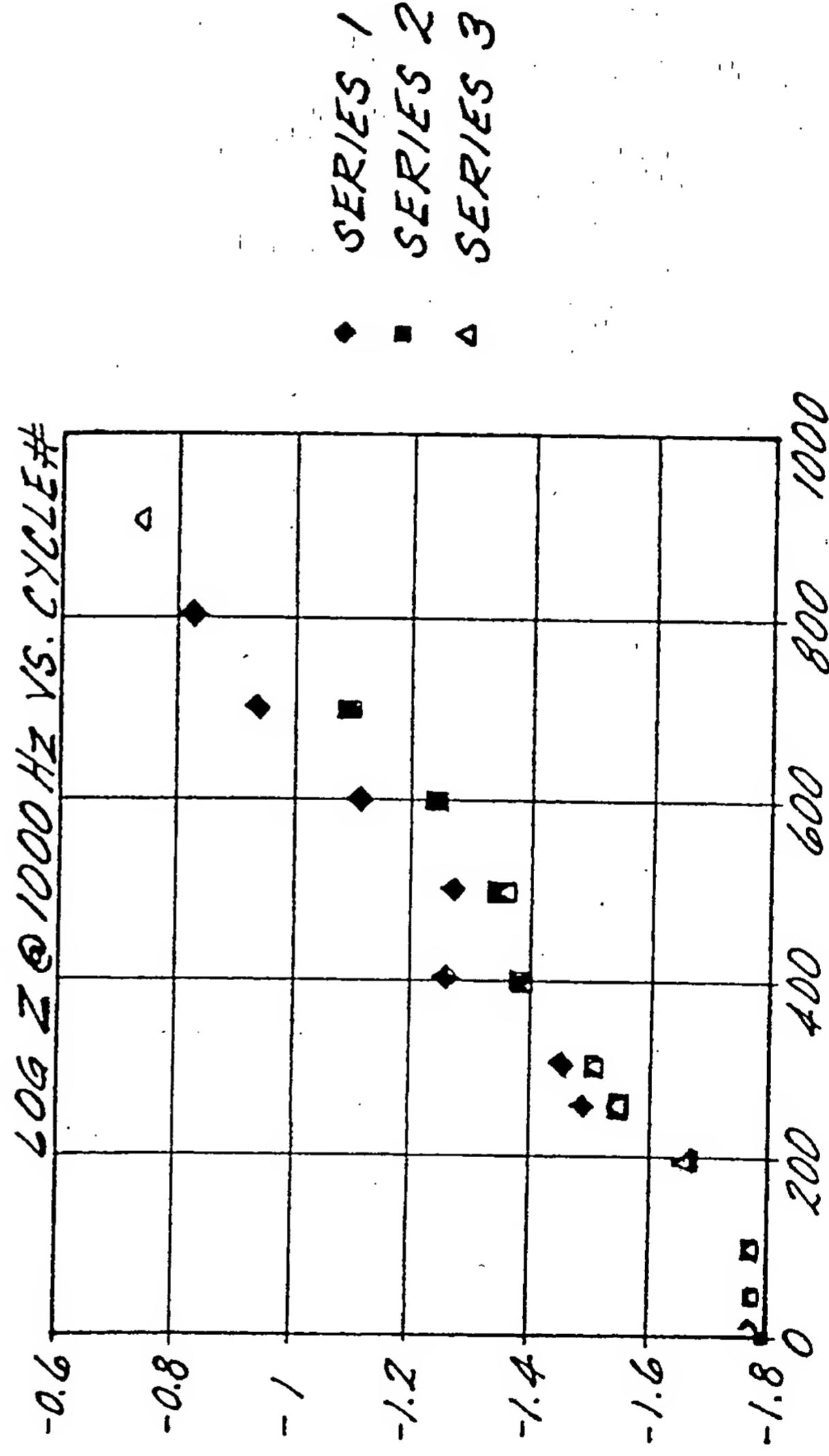


FIG. 4C

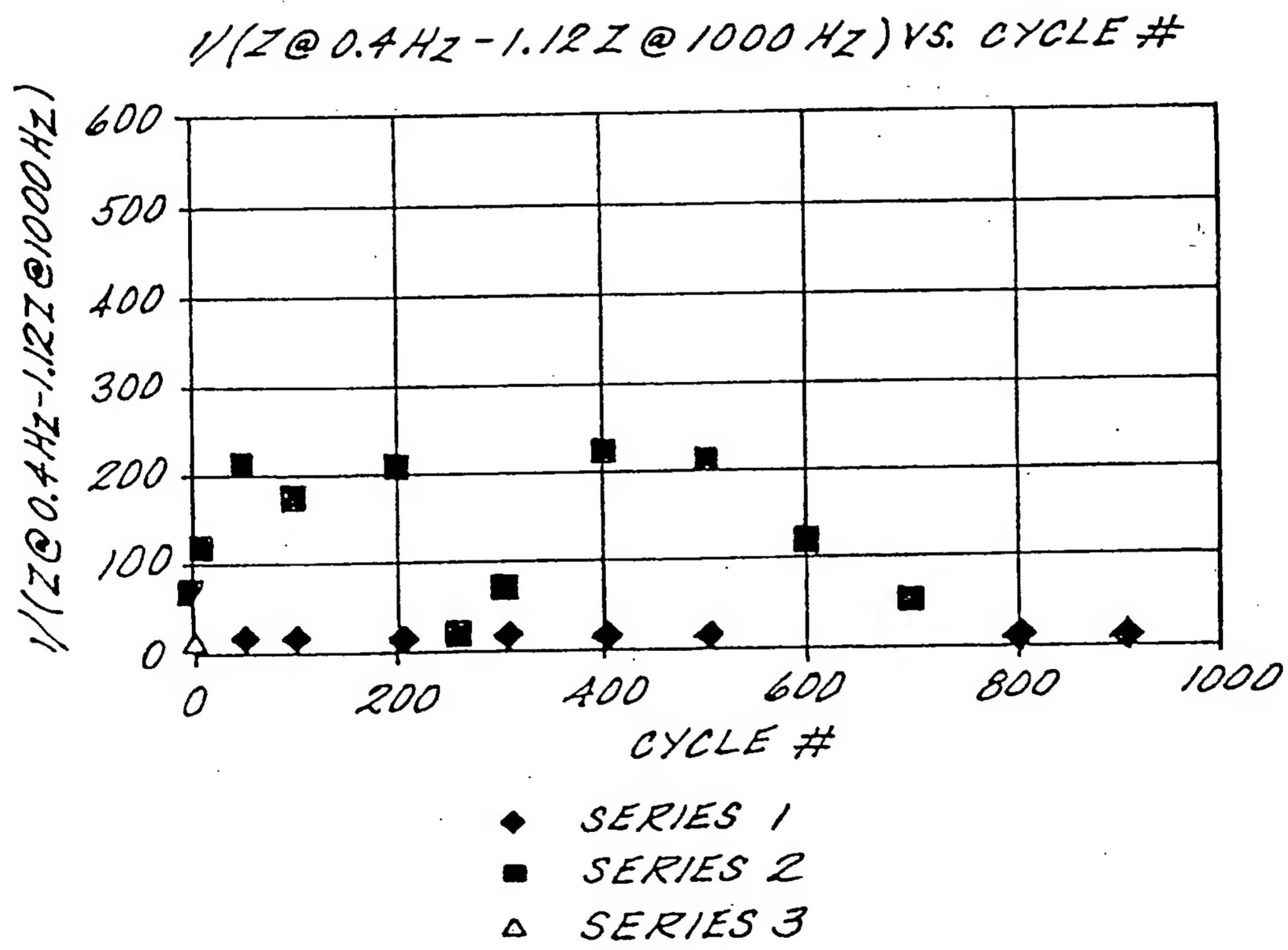


FIG. 5

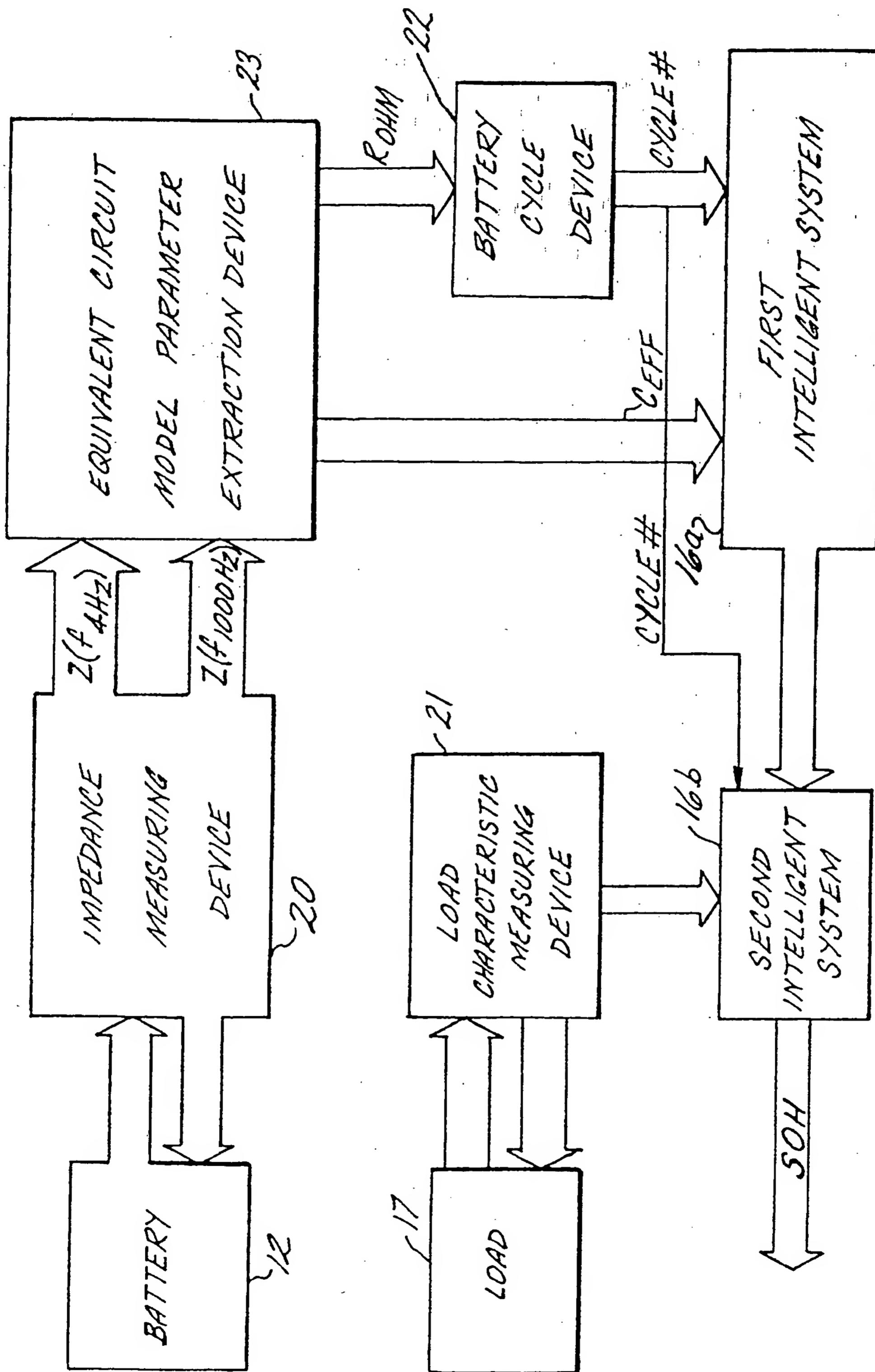


FIG. 6

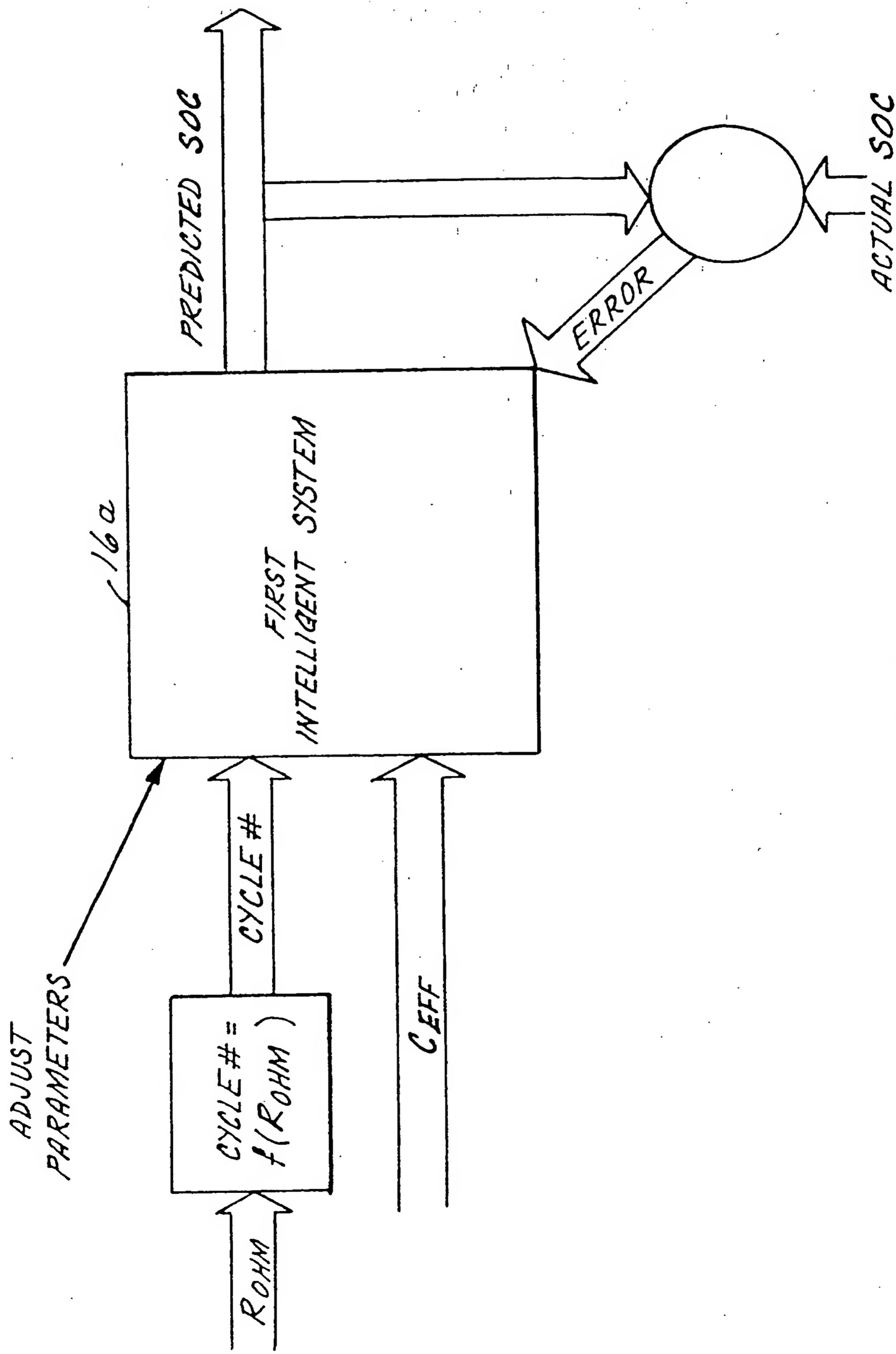


FIG. 7A

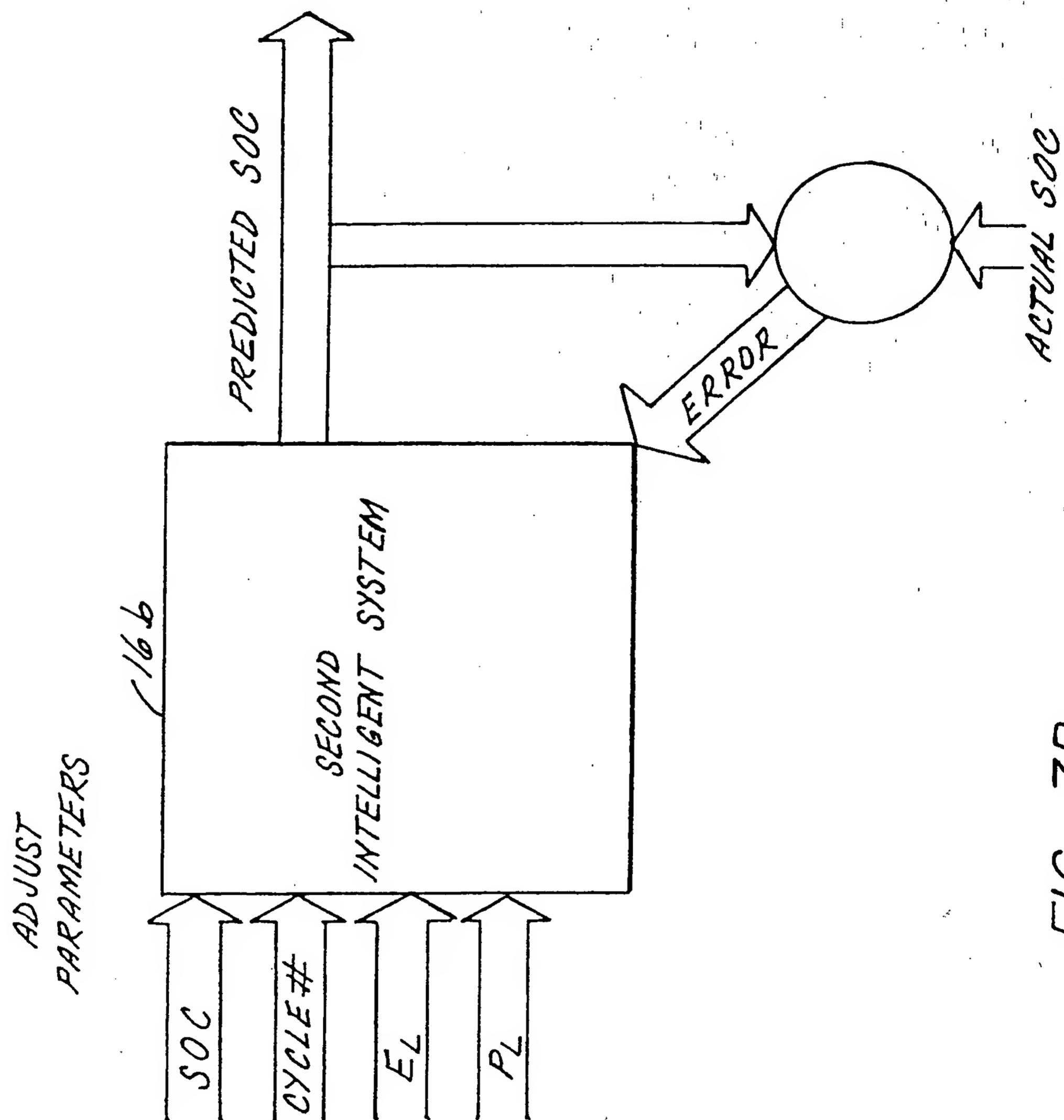


FIG. 7B

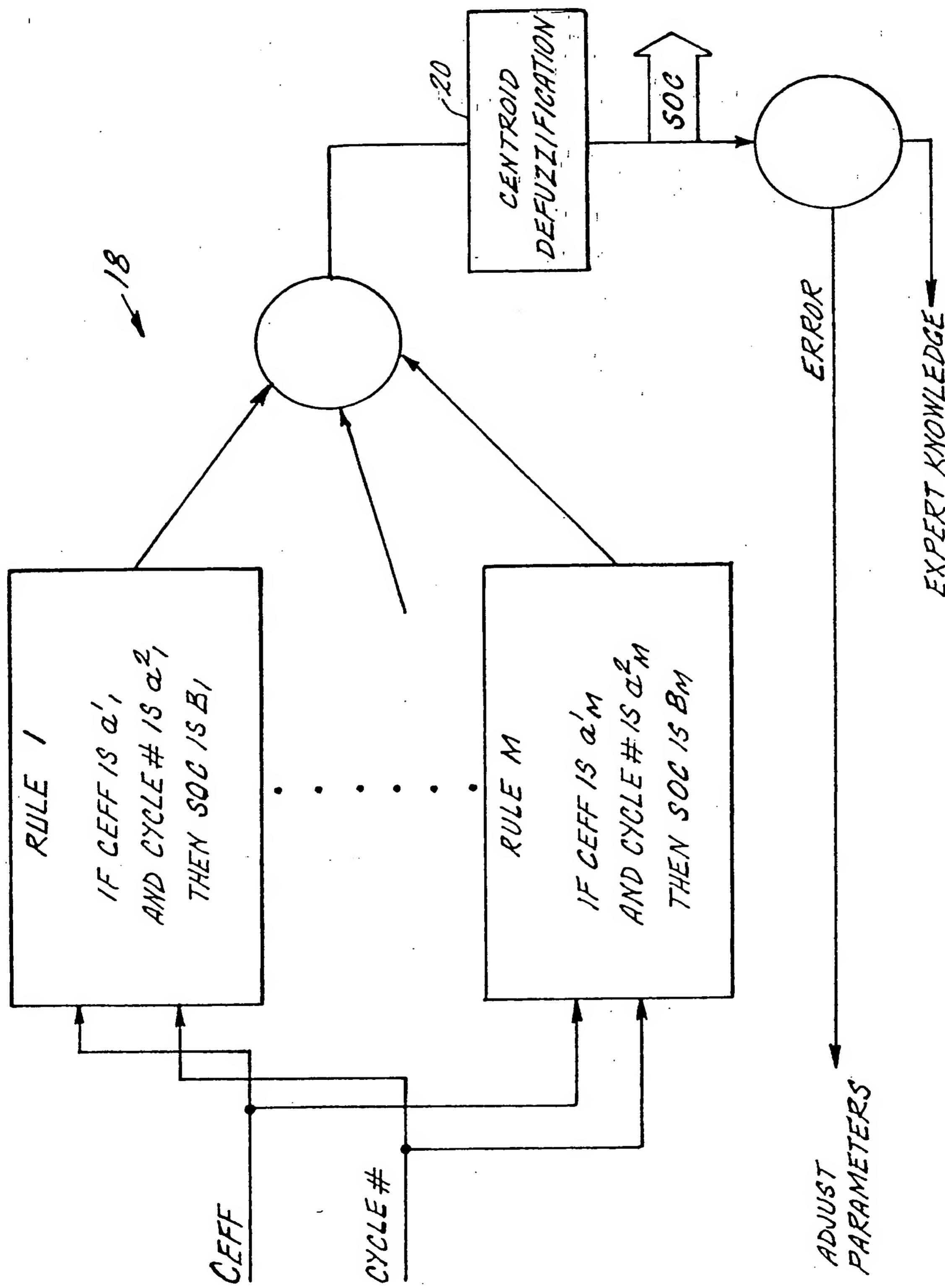


FIG. 8A

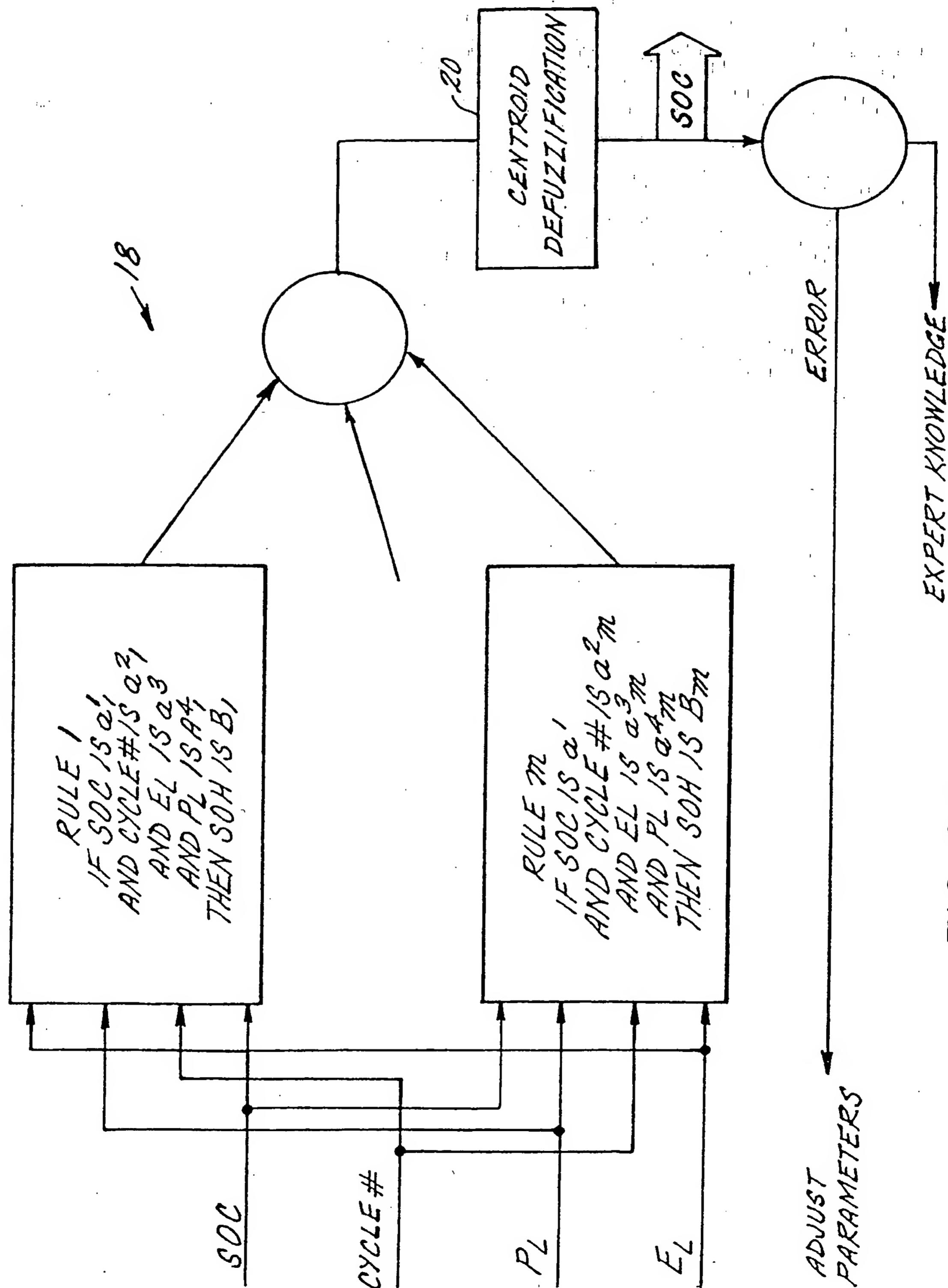


FIG. 8B

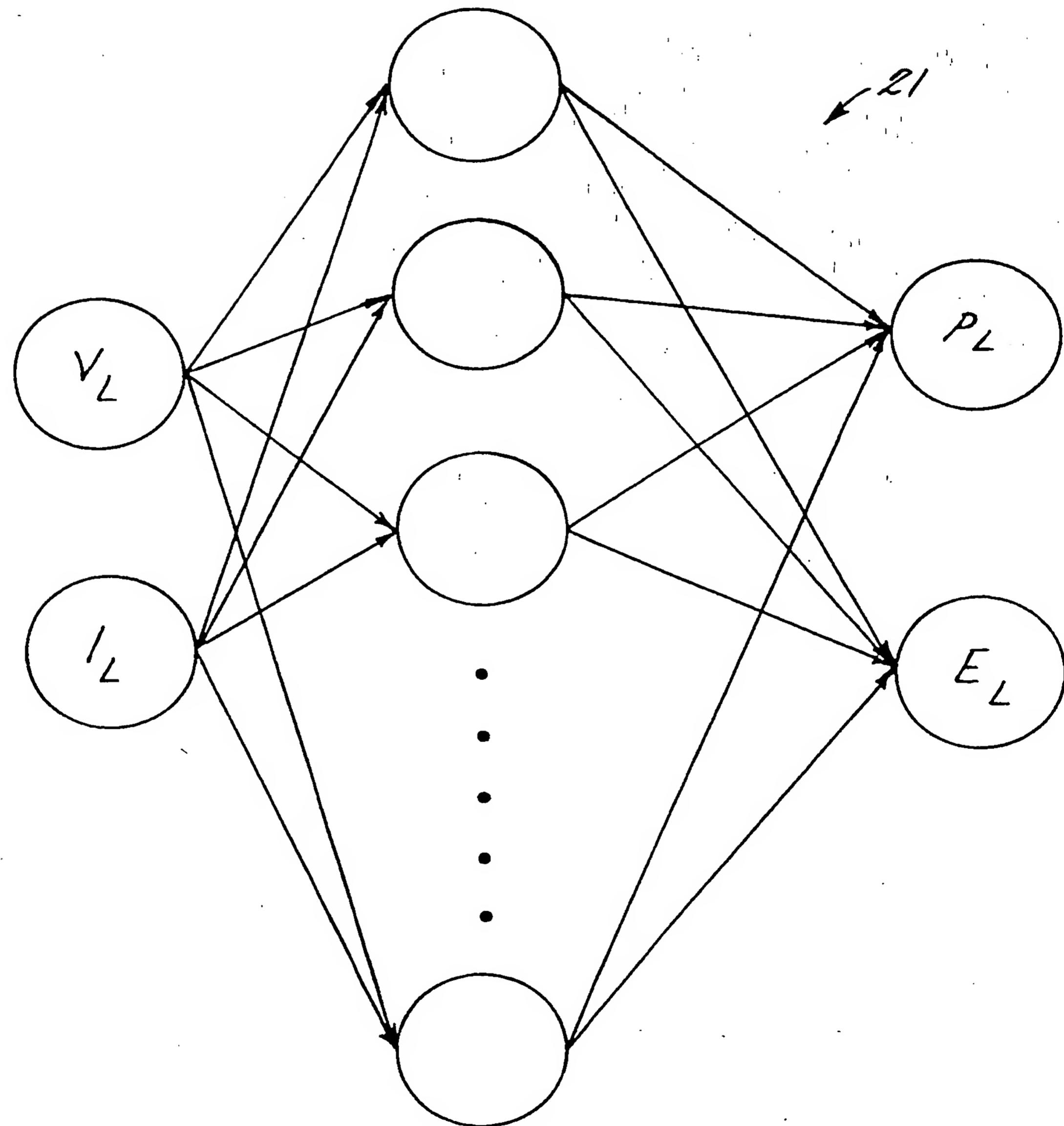


FIG. 8C

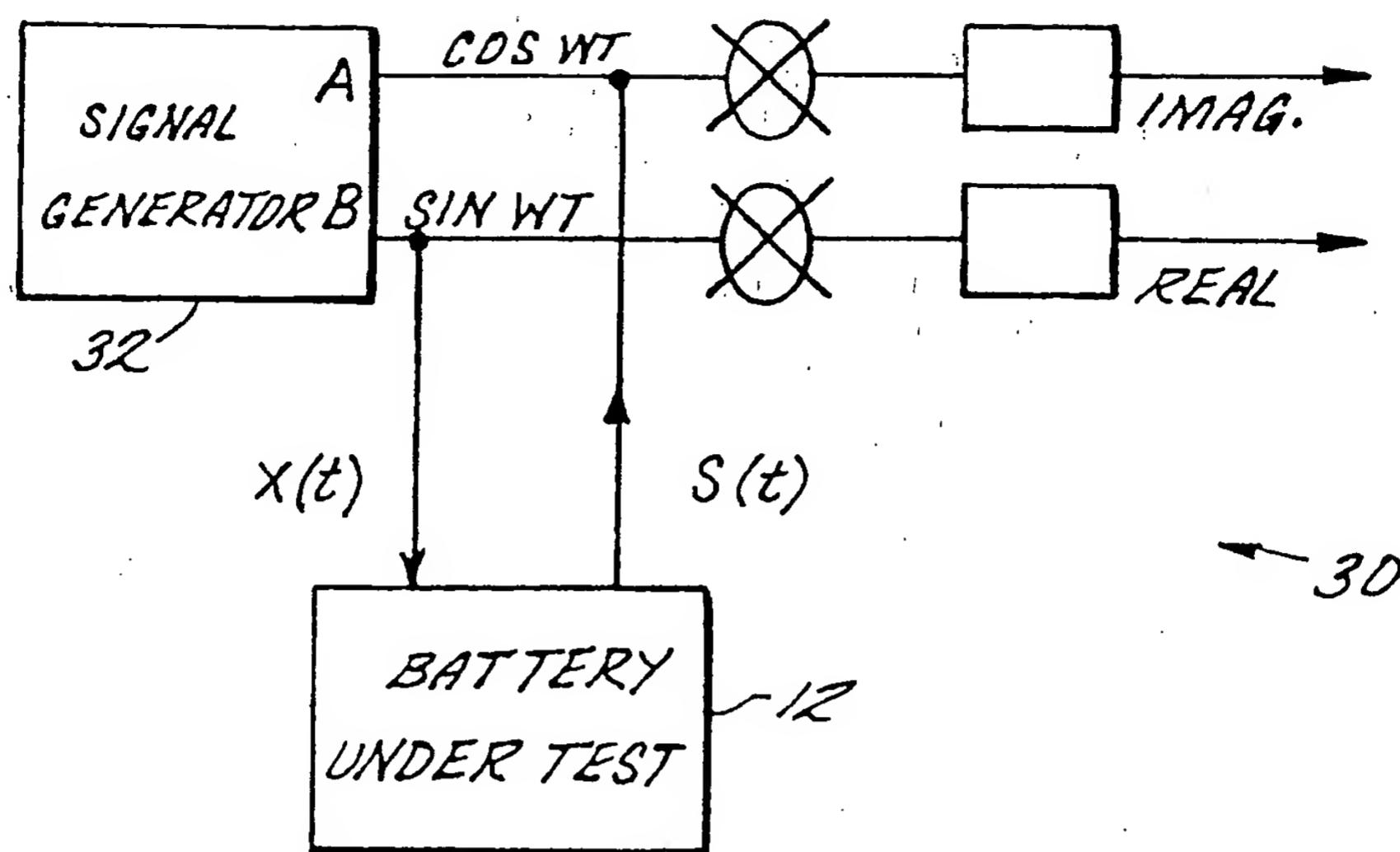


FIG. 9A

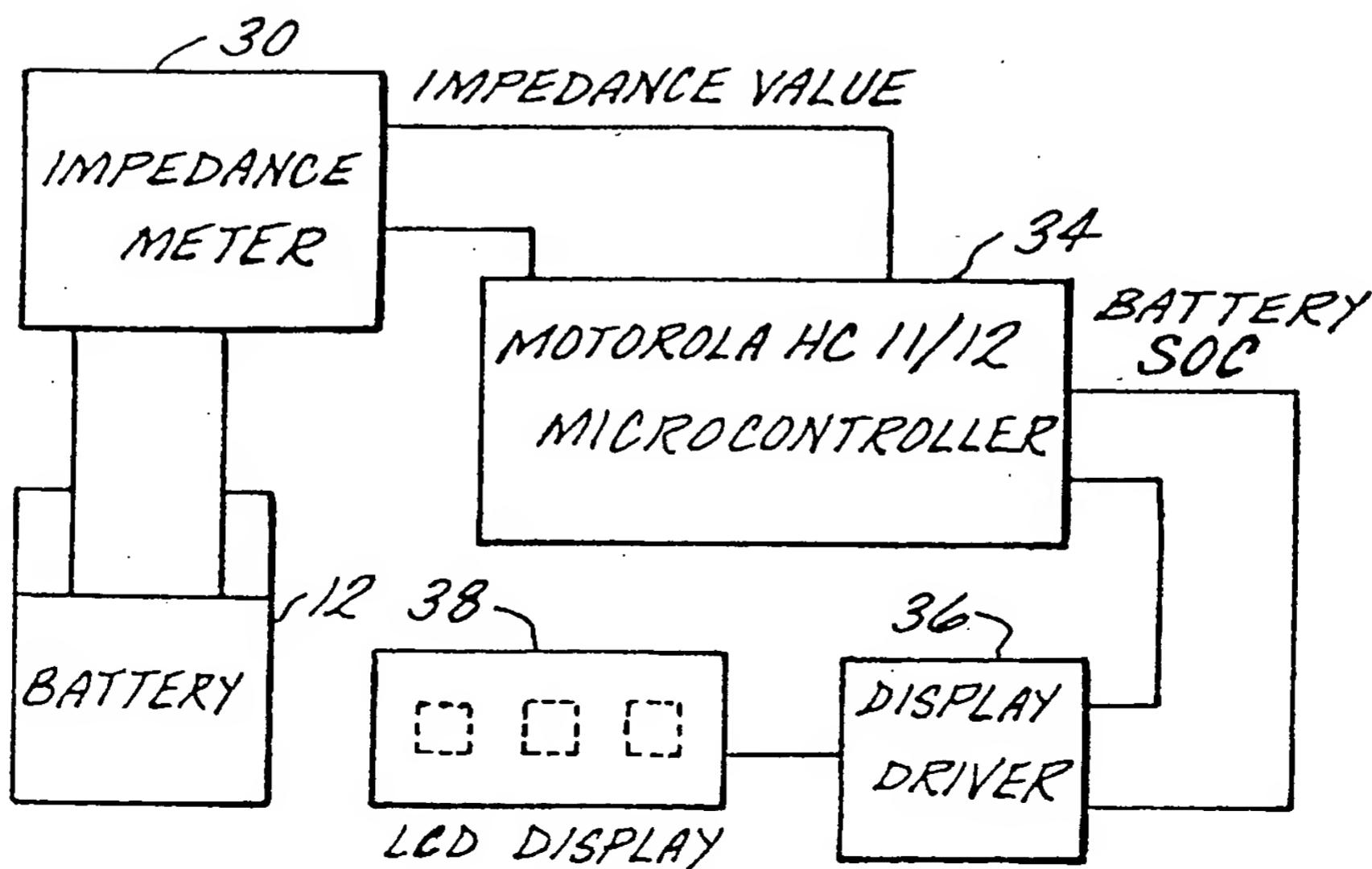


FIG. 9B

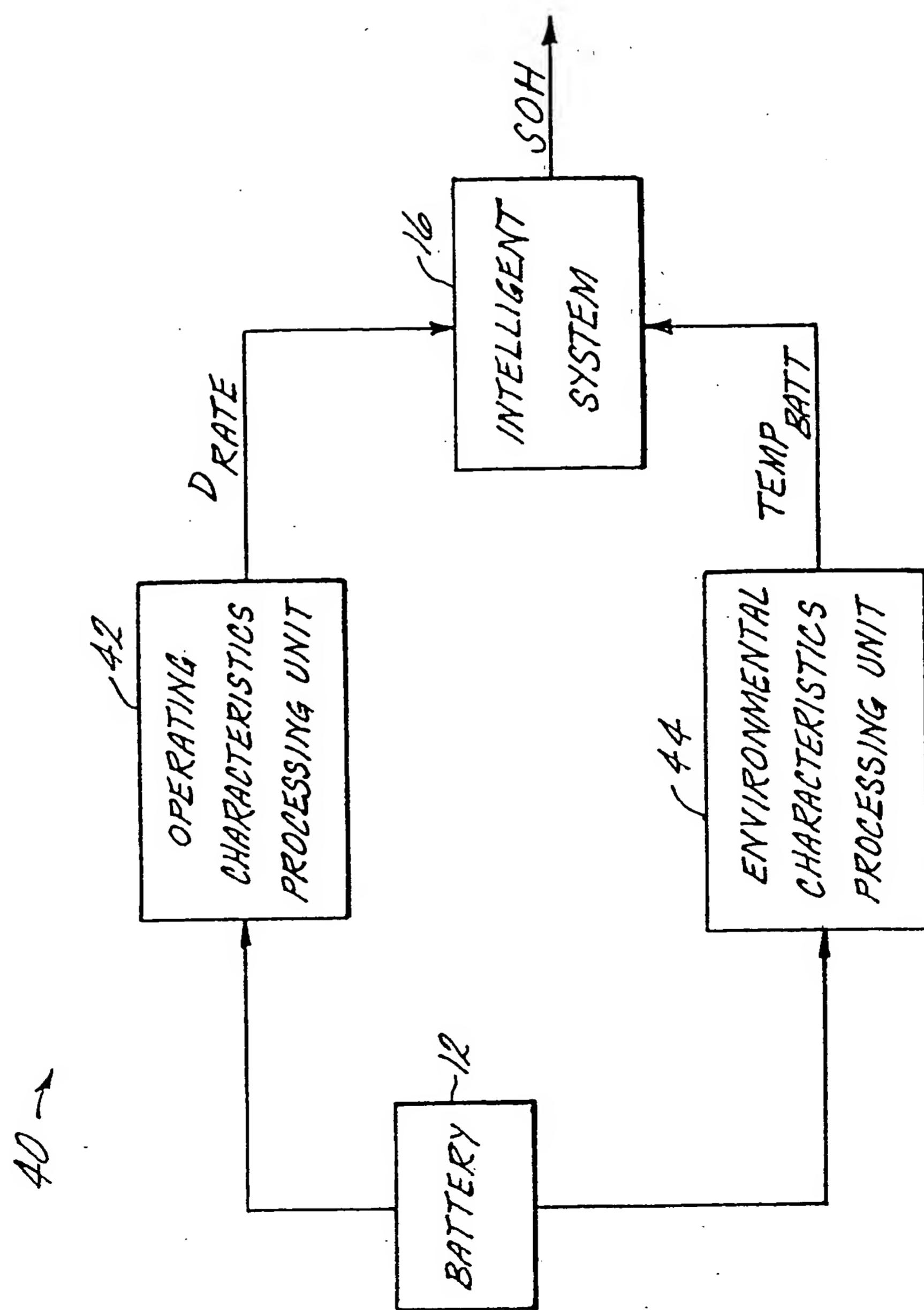


FIG. 10

INTERNATIONAL SEARCH REPORT

International application No.

PCT/US98/04882

A. CLASSIFICATION OF SUBJECT MATTER

IPC(6) :H02J 15/00
 US CL :702/63, 60, 64; 320/132, 134, 136, DIG 21; 706/900

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

U.S. : Please See Extra Sheet.

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched
 None

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

Maya, Dialog, IEEE, APS

search terms: intelligent, fuzzy logic, neural networks, artificial intelligence, electrochemical, battery, fuel cell

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	US 5,541,489 A (DUNSTAN) 30 July 1996, Abstract, figures 3, 4, 5A, 6-8, col. 3, lines 59-67, col. 4, lines 1-10.	1-3, 10, 11, 13, 17-19, 26, 27, 29, 33
---		-----
Y	US 5,241,275 A (FANG) 31 August 1993, Abstract.	4-8, 14, 20-24, 30
Y	US 5,579,439 A (KHAN) 26 November 1996, Abstract, figure 5.	4-6, 14, 20-22, 30
Y, P	US 5,714,866 A (S et al.) 03 February 1998, Abstract, figures 3, 4, col. 22, lines 30-34.	7, 8, 23, 24

Further documents are listed in the continuation of Box C.

See patent family annex.

Special categories of cited documents:	"T"	later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
"A" document defining the general state of the art which is not considered to be of particular relevance	"X"	document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
"E" earlier document published on or after the international filing date	"Y"	document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art
"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)	"&"	document member of the same patent family
"O" document referring to an oral disclosure, use, exhibition or other means		
"P" document published prior to the international filing date but later than the priority date claimed		

Date of the actual completion of the international search

03 JUNE 1998

Date of mailing of the international search report

24 AUG 1998

Name and mailing address of the ISA/US
 Commissioner of Patents and Trademarks
 Box PCT
 Washington, D.C. 20231

Facsimile No. (703) 305-3230

Authorized officer

HAL D. WACHSMAN

Telephone No. (703) 305-9788

INTERNATIONAL SEARCH REPORT

International application No.

PCT/US98/04882

B. FIELDS SEARCHED

Minimum documentation searched

Classification System: U.S.

702/63, 60, 64, 61, 57, 65, 75, 22, 30, 32; 320/132, 134, 136, DIG 21, 149, DIG 18; 706/900, 907, 915,-1-9;
364/528.01, 528.21, 528.26, 528.28, 528.3, 528.32, 707; 395/750.01, 750.03, 750.08; 307/64-66

